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# **Shannon Effect for BC-complexity of Finite Automata**

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University of Latvia  
2014.10.04

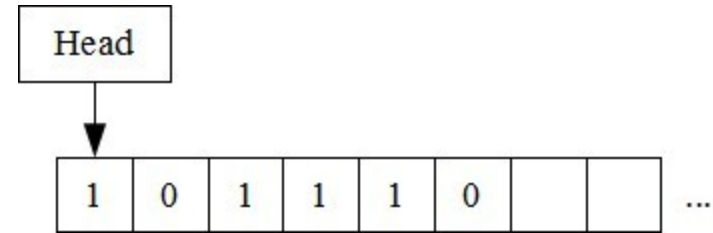
## Outline of the talk

- Finite automata (DFA)
- Representation of automata with Boolean circuits
- BC-complexity
- Shannon effect for BC-complexity
- NFA, language operations
- Minimization

# Finite Automata

## A finite automaton (DFA) consists of:

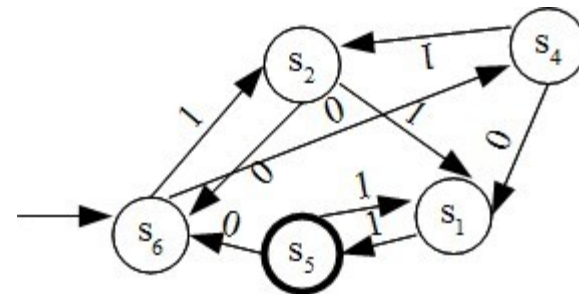
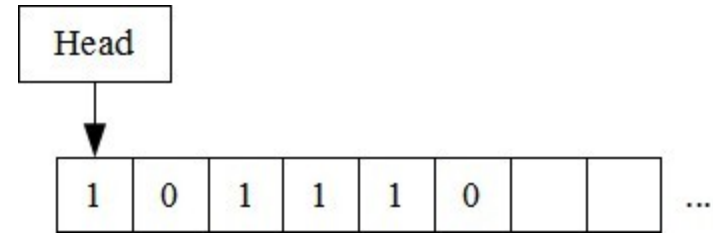
- Input tape
- Read-only head moving in only one direction
- On each step
  - Read input symbol
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- If there are no more input symbols
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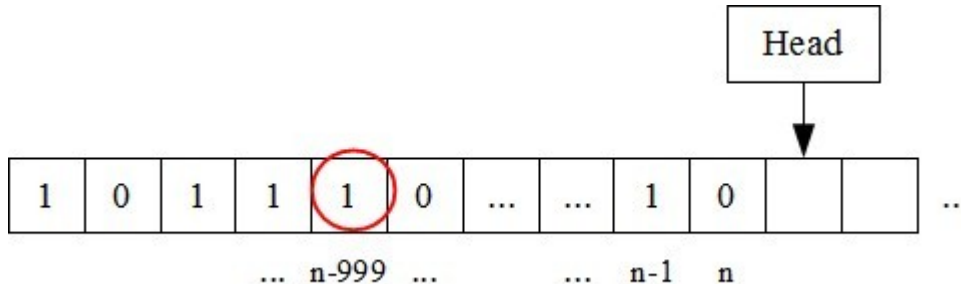
- $2^{10}$  states?
- $2^{100}$  states?
- $2^{1000}$  states?

# Motivation

## Automata with $2^{1000}$ states

1. Automaton  $A_1$  accepts language  $L_1$  of words in a binary alphabet  $\Sigma = \{0, 1\}$  for which 1000th digit from the end is "1".

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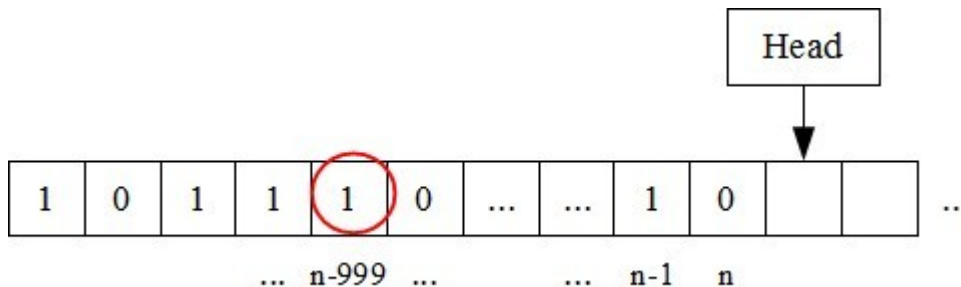
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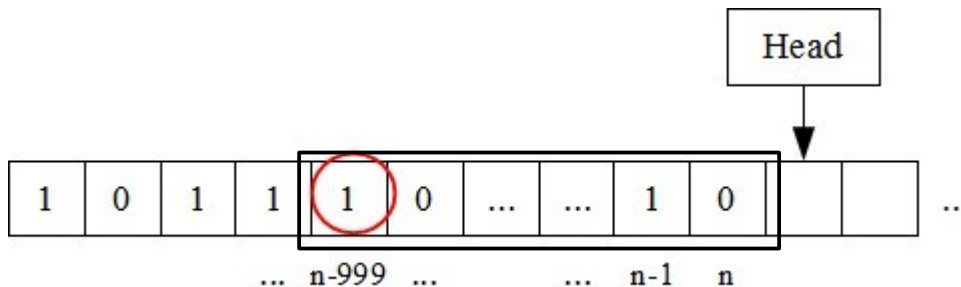
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How to show that the “random” automaton  $A_2$  is more complex than  $A_1$  if they have same state complexity?



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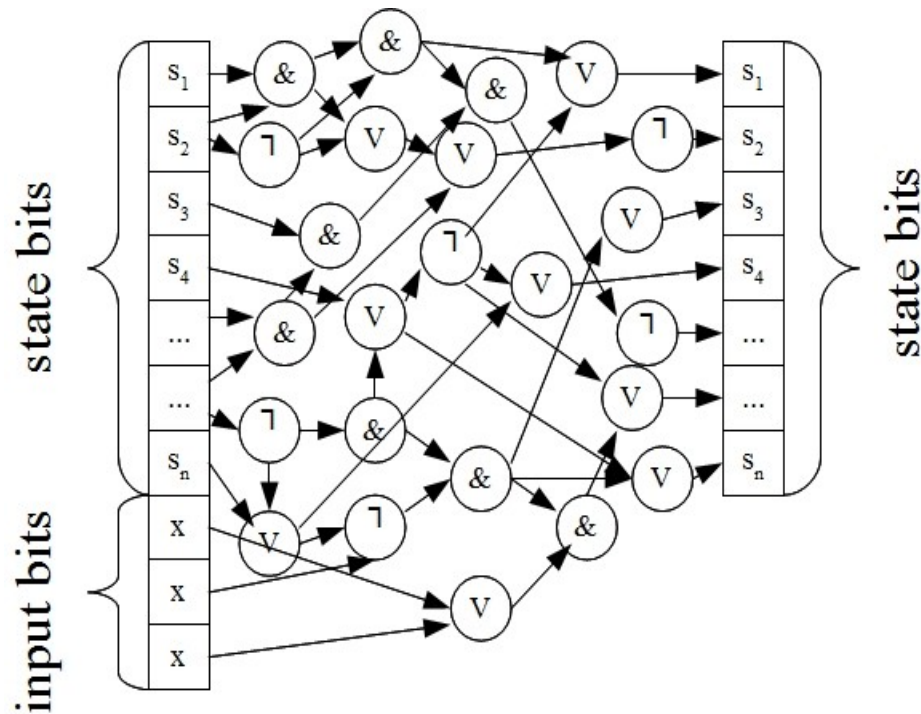
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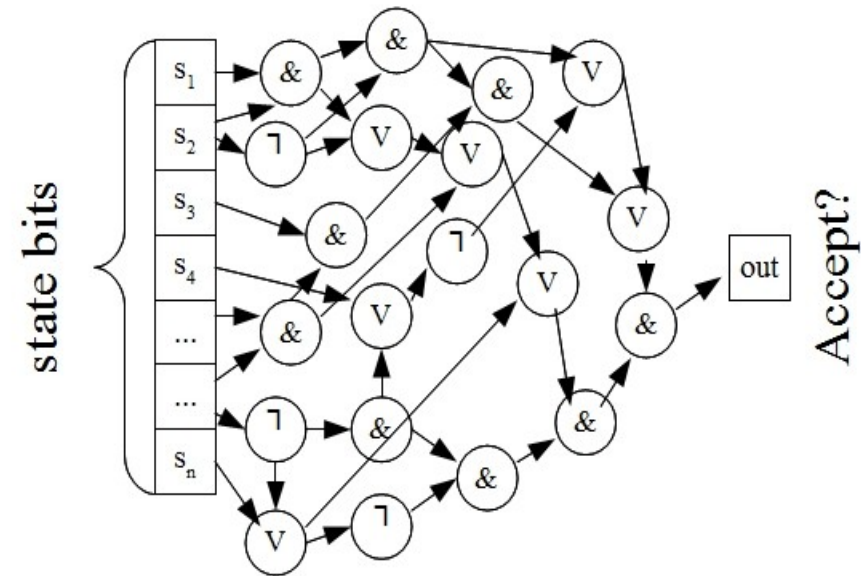
- Transition function  $\delta : \Sigma \times Q \rightarrow Q \rightarrow$  Boolean circuit:
  - *Inputs : input bits and state bits*
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- Set of final states  $Q_F \subseteq Q \rightarrow$  a Boolean circuit for the characteristic function of the set  $Q_F$ :
  - *Inputs : state bits*
  - *Outputs : one bit (accept/reject)*

# Representation of an automaton

## Representation of an automaton with two Boolean circuits:



$\geq \log|Q|$  state bits



$\geq \log|\Sigma|$  input bits

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- Each automaton can have infinitely many encodings
- Each encoding can have infinitely many representations
- (Number of state bits)  $b_Q \geq \log_2(|Q|)$
- Two automata may have the same representation only if they are equivalent.

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## **BC-complexity of a regular language**

$$C_{BC}(R) = \min\{C_{BC}(A) : A \text{ recognizes } R\}$$

## Example 1

Automaton  $A_1$  accepts language  $L_1$  of words for which the  $n$ -th digit from the end is "1":

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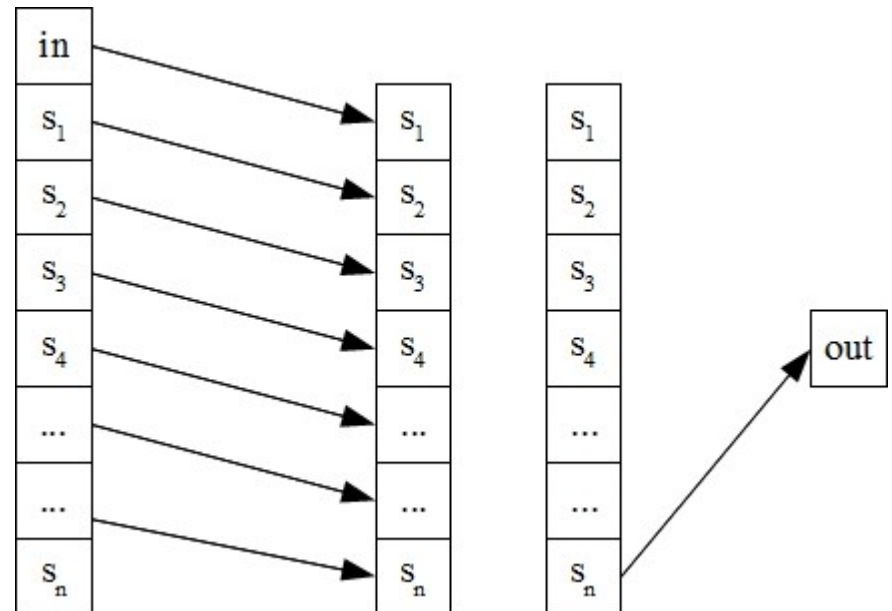
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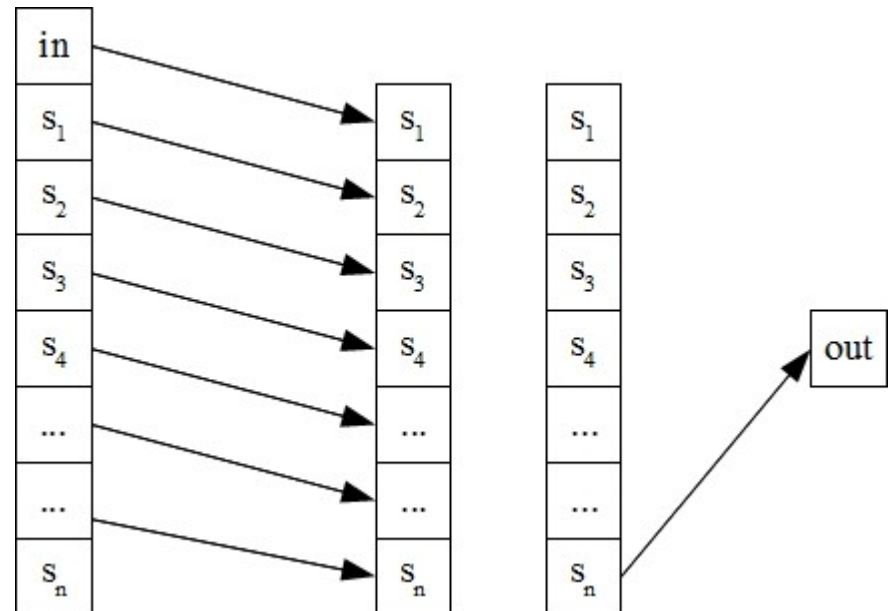


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## Example 2

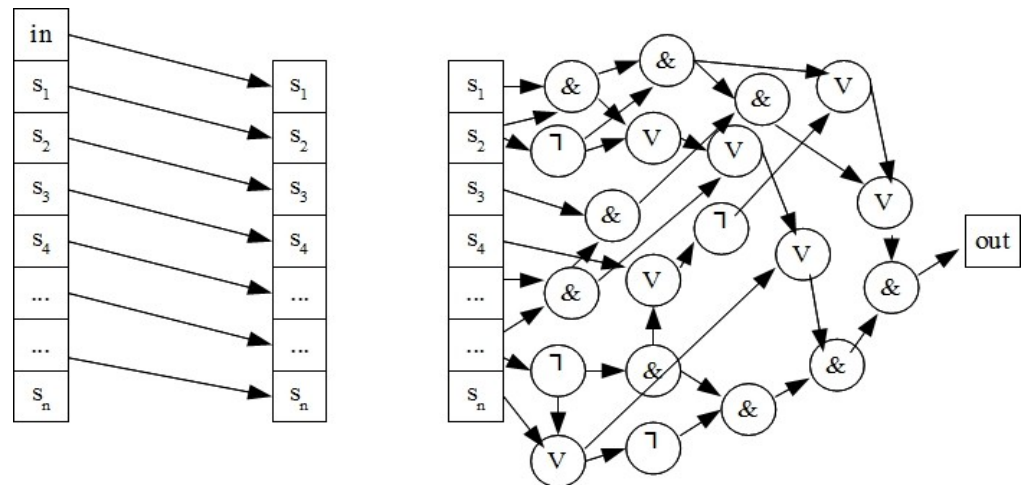
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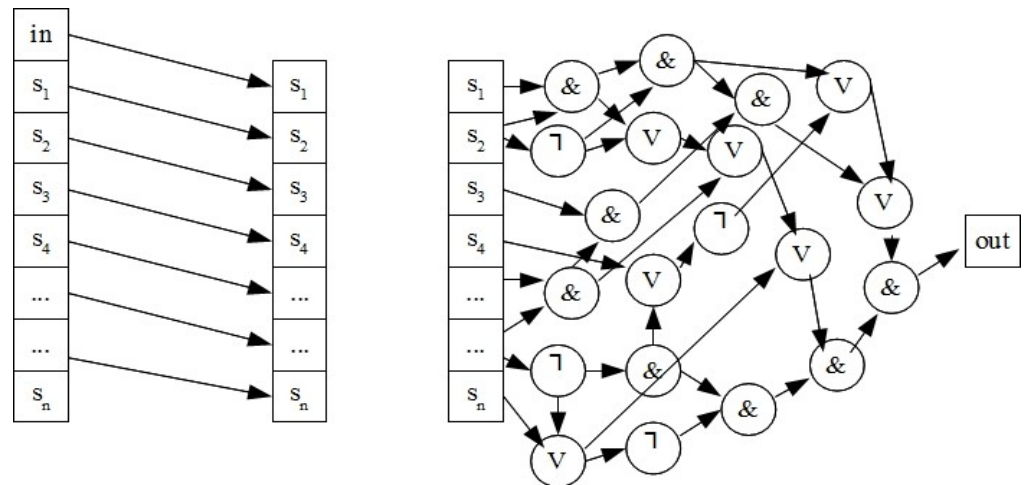
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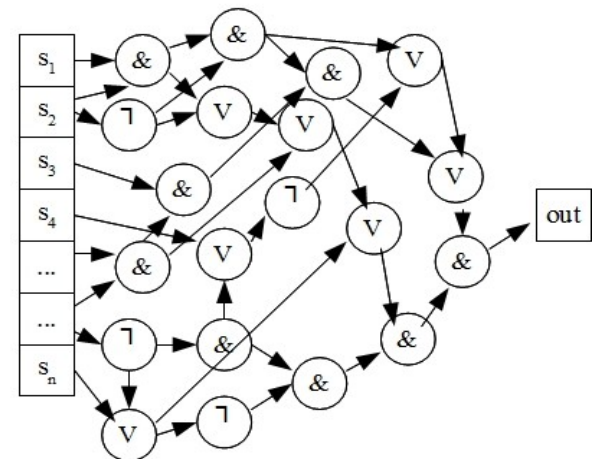
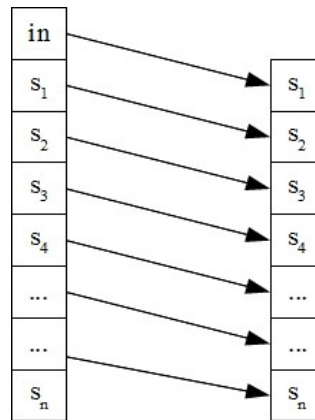
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- $C_{BC}(A_2^n) \geq 2^n/n^2$   
(proof omitted)



## **Upper and lower bounds for BC-complexity**



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$x \gtrsim f(n) \Leftrightarrow x > f(n)(1-o(1))$



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- $C_{BC}(A_1^n) = n$
- $C_{BC}(A_2^n) \geq 2^n/n^2$

## **Some special cases**

- Nondeterministic automata
- Language operations

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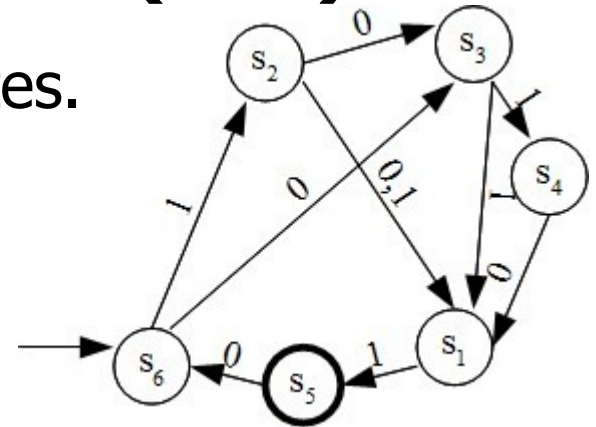
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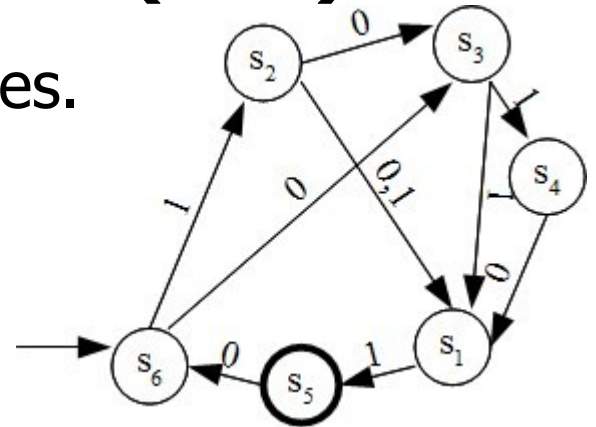




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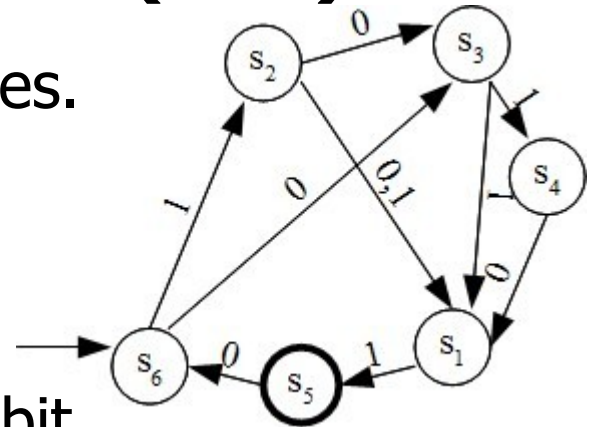


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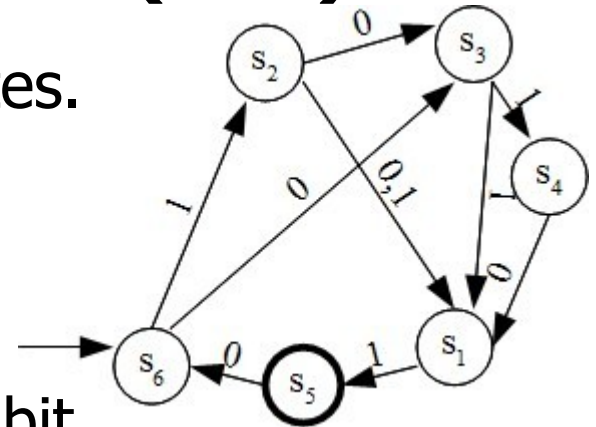


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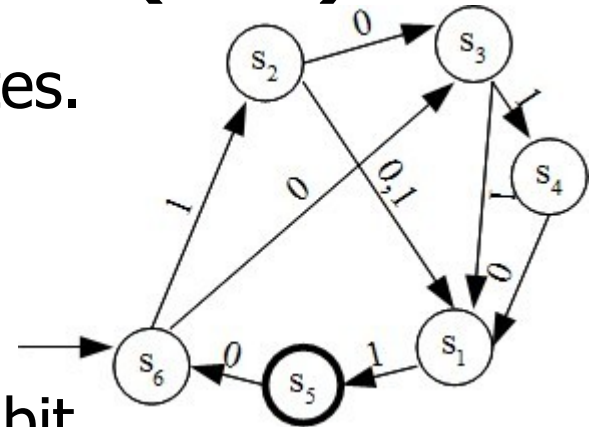


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Much simpler than a general function on  $n$  arguments!

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No Shannon effect right now for NFA (but coming close)

## Upper bounds of BC-complexity for Language operations

- Given two languages  $L_1$  and  $L_2$  with state complexities  $m$  and  $n$  and BC-complexities  $a$  and  $b$ .

Operation	State comp.	BC-complexity
$L_1 \cup L_2$	$mn$	$a+b+1$
$L_1 \cap L_2$	$mn$	$a+b+1$
$\Sigma^* - L_1$	$m$	$a+1$
$L_1^R$	$2^m$	$2m(m+1)$
$L_1 L_2$	$(2m-1)2^{n-1}$	$2a+2n(n+1)$
$L_1^*$	$2^{m-1}+2^{m-2}$	$2m(m+1)$

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- Is computer (an efficient) representation of a finite automaton?

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- Can it be that for some automaton  $A$ :  
 $C_{BC}(M(A)) \gg C_{BC}(A)$  ?

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Theorem:

If there exists a polynomial  $p(x)$  such that

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For every  $n$  we can build an automaton  $B_n$  with

- $Poly(n)$  state bits ( $2^{Poly(n)}$  states)
- $C_{BC}(B_n) = Poly(n)$
- $C_{BC}(M(B_n)) \notin Poly(n)$

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## **Conclusions**

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- We can model any automaton with a reasonable BC-complexity
- Many “naturally generated” DFAs have large state complexity but low BC complexity
- Sometimes minimizing the number of states leads to (a large) increase in BC-complexity

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- Can the upper and lower bounds for NFA be improved?
- How to estimate the lower bounds for language operations?

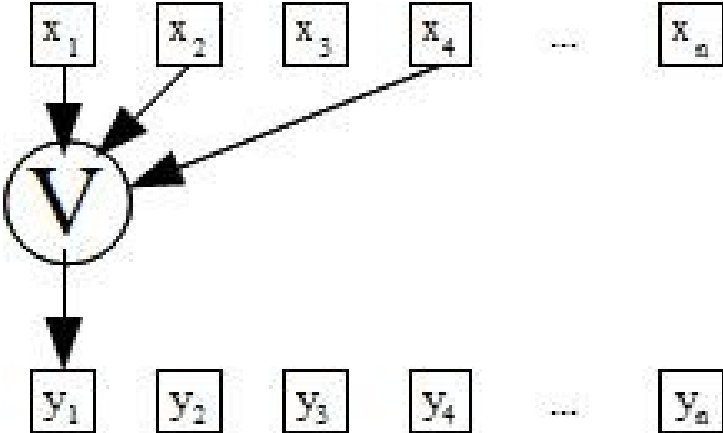
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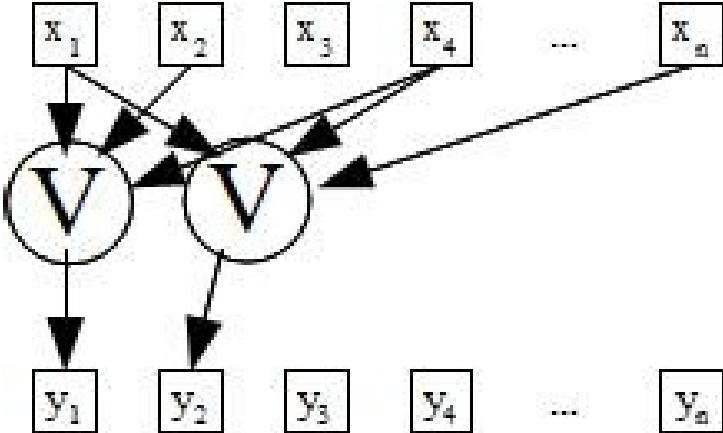
$x_1$     $x_2$     $x_3$     $x_4$    ...    $x_n$

$y_1$     $y_2$     $y_3$     $y_4$    ...    $y_n$

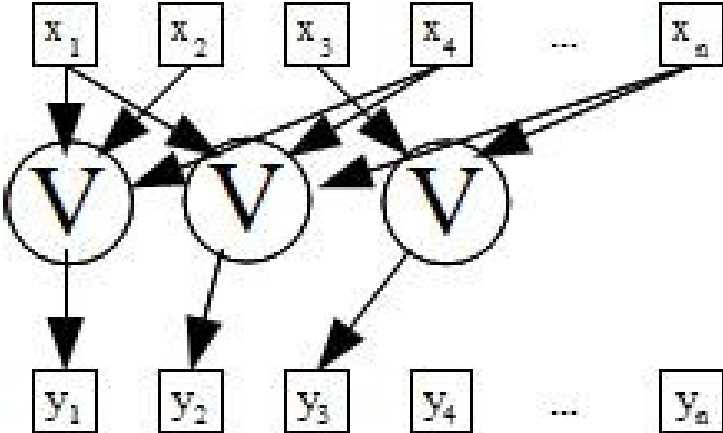
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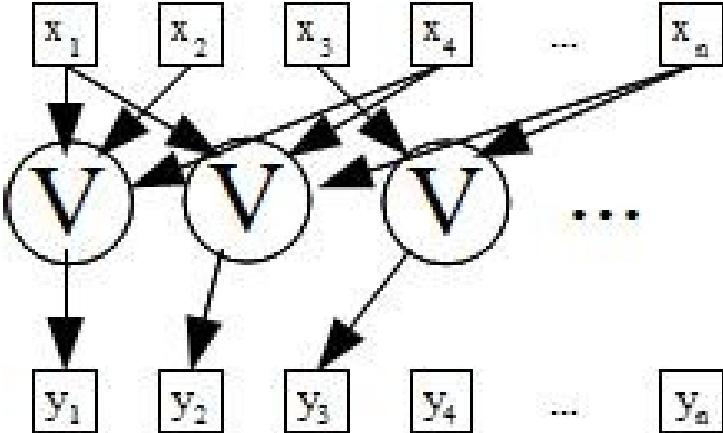
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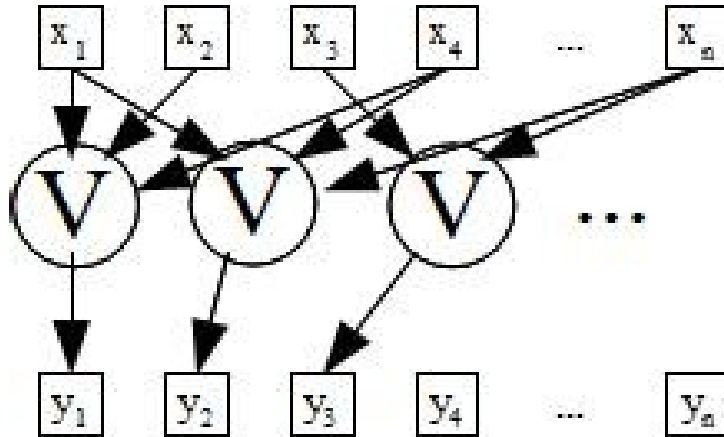


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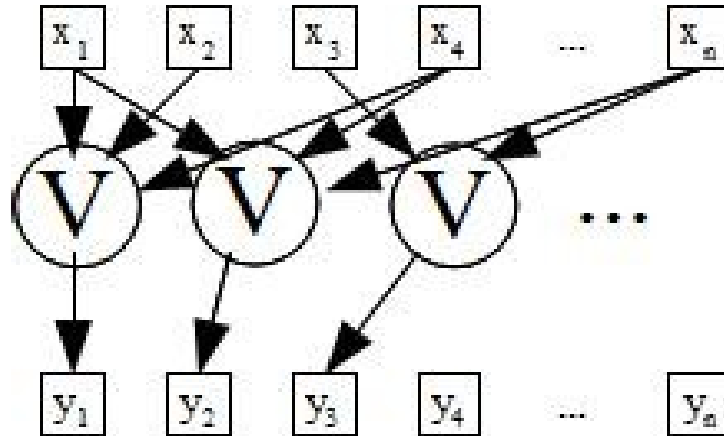


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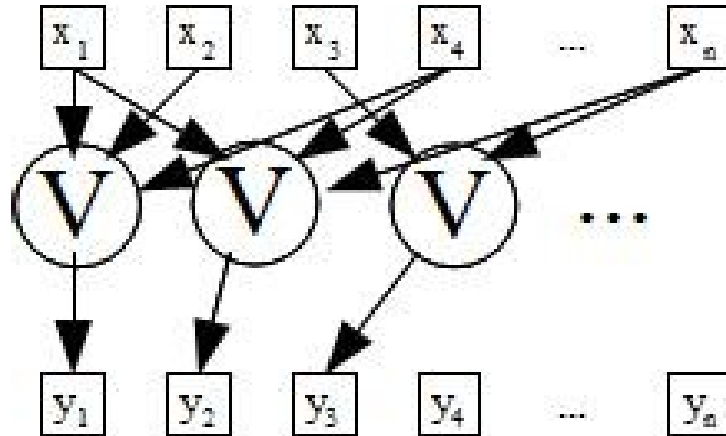
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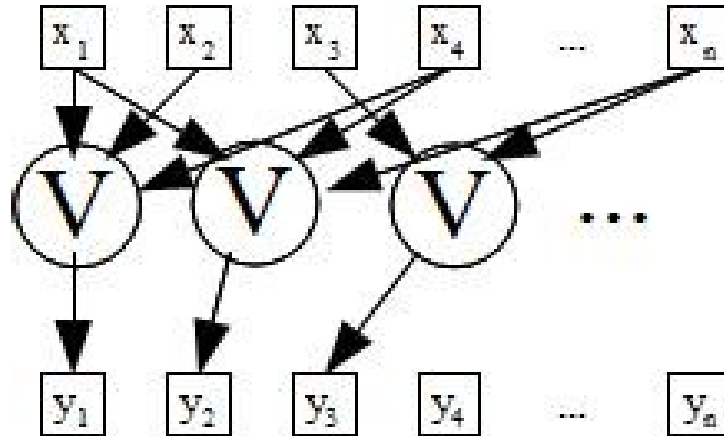
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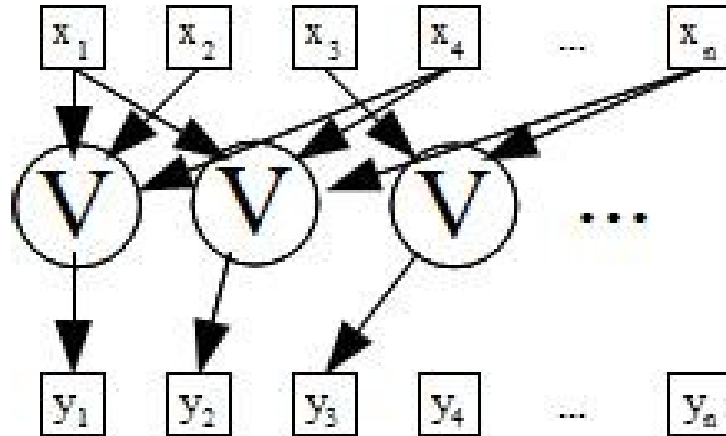
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- Lower bounds is  $n^2/2\log n$  gates

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**Thank you!**