# Sensitivity vs block sensitivity of Boolean functions 

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## Sensitivity of a Boolean function

## Sensitivity to a bit

Function $f$ is sensitive to bit $i$ on $x$ if

$$
f\left(x_{1}, \ldots, x_{i}, \ldots, x_{n}\right) \neq f\left(x_{1}, \ldots, 1-x_{i}, \ldots, x_{n}\right)
$$

## Sensitivity on a word

The sensitivity of $f$ on $x$ is the number of sensitive bits on $x$ :

$$
s(f, x)=\left|\left\{i \mid f\left(x_{1}, \ldots, x_{i}, \ldots, x_{n}\right) \neq f\left(x_{1}, \ldots, 1-x_{i}, \ldots, x_{n}\right)\right\}\right|
$$

## Sensitivity of a Boolean function

The sensitivity of $f$ is the maximum of sensitivities on a word over all inputs: $s(f)=\max _{w} s(f, w)$.

## Sensitivity: an example

## Three-argument majority function

$$
f\left(x_{1}, x_{2}, x_{3}\right)= \begin{cases}1 & \text { if at least two of } x_{1}, x_{2}, x_{3} \text { are } 1 \\ 0 & \text { otherwise }\end{cases}
$$

Sensitivity of majority

- $s(f, 011)=2$, because there are exactly two bits, namely $x_{2}$ $(f(011) \neq f(010))$ and $x_{3}(f(011) \neq f(001))$, whose change would change the value of $f$;
- $s(f, 000)=0 \quad s(f, 001)=2 \quad s(f, 010)=2 \quad s(f, 011)=2$ $s(f, 100)=2 \quad s(f, 110)=2 \quad s(f, 101)=2 \quad s(f, 111)=0$;
- we conclude that the sensitivity of $f$ is 2 .


## Block sensitivity of a Boolean function

## Blocks

Let $x$ be a Boolean string of length $n$ and let $S$ be any subset of indices, we will call $S$ a "block". By $x^{S}$ we will mean $x$ with all the bits in $S$ flipped.

## Sensitivity to block

Function $f$ is sensitive to block $S$ on $x$ if $f(x) \neq f\left(x^{S}\right)$

## Example

The three-argument majority function is sensitive to block 1,2 on 000 , because $f(0,0,0) \neq f(1,1,0)$.

## Block sensitivity of a Boolean function (cont.)

## Block sensitivity on word

The block sensitivity bs $(f, x)$ of $f$ on input $x$ is defined as the maximum number $k$ of disjoint subsets $B_{1}, \ldots, B_{k}$ of $\{1,2, \ldots, n\}$ such that for each $B_{i}, f(x) \neq f\left(x^{B_{i}}\right)$.

Block sensitivity of a function
The block sensitivity $b s(f)$ of $f$ is $\max _{x} b s(f, x)$.

## A generalization of sensitivity

The relation to sensitivity is immediate: block sensivity generalizes different bits to disjoint blocks.

## The block sensitivity problem

## The main open problem

Is there a constant $c$ such that $b s(f)=O\left(s^{c}(f)\right)$ ?
Progress on the block sensitivity problem

- the best known upper bound of block sensitivity in terms of sensitivity is exponential [Kenyon04];
- the best separation is quadratic: $b s(f)=\frac{1}{2} s(f)^{2}$ for Rubinstein's function [Rubinstein95];
- the gap has been exponential for more than 20 years.


## The importance of the block sensitivity problem

A possible proof technique

- note that for most functions it is very easy to determine their sensitivity. We can't say the same about other complexity measures;
- also note that block sensitivity is polynomially related to almost every other complexity measure: deterministic query complexity, quantum query complexity, certificate complexity, etc.;
- if sensitivity and block sensitivity are polynomially related, then we would have an immense number of new results about other complexity measures.


## Approaching the block sensitivity problem

## The results of Kenyon and Kutin

- this paper demonstrates the best upper bound (though exponential). Their proof is via l-block sensitivity, which limits the block size to at most $I$.
- at the end of the paper there is an interesting open question $Q$ about $b s_{2}$ and $s$;
- even an improvement to the constants in the relationship between $b s_{2}$ and $s$ could lead to a subexponential upper bound of block sensitivity in terms of sensitivity;

A possible attack - trying small examples

- investigate small examples looking for improvements to $Q$;
- if there is a small example that substantially improves the solution to $Q$ and we are able to generalize it, then we have proved a new upper bound of block sensitivity!


## Investigating Boolean functions of low degree

## Exhaustive search

- the number of $n$ variable Boolean functions is $2^{2^{n}}$;
- an exhaustive search is unfeasible for even $n=5$;
- a result obtained by exhaustive search: a short proof of sub-quadratic separation between sensitivity and block sensitivity.

An idea from cryptography - reducing the problem to SAT

- build a SAT instance by considering $2^{n}$ variables corresponding to the values of $f\left(x_{1}, x_{2}, \ldots x_{n}\right)$;
- add additional variables and clauses for constraints $s(f) \leq s$ and $b s(f) \geq b s$, for arbitrary constants $s, b s$;
- use a SAT solver on the resulting problem instances.


## Results

## Results of computer search

- we found a 9 -argument function with a somewhat simple structure and $b s(f)>\frac{1}{2} s(f)^{2}$;
- our experiments give a complete characterization of possible $s$ and bs pairs for every $n$ not exceeding 12 .


## The main result - improved separation

- we were able to generalize our function $\left(b s(f)=\frac{1}{2} s(f)^{2}+\frac{1}{2} s(f)\right)$, thereby improving the best known separation;
- our function also improves the best results about question from paper by Kenyon and Kutin, however this improvement is not strong enough to prove the subexponential bound we seeked.


## The main result

## The main theorem

For every non-negative integer $k$ there exists a Boolean function $f$ of $n=(2 k+1)^{2}$ variables, for which $s(f)=2 k+1$ and $b s(f)=(2 k+1)(k+1)$.

## Our function

An example of such a function is given by dividing variables into $2 k+1$ disjoint sections with $2 k+1$ variables in each section. We define $f$ to be 1 iff there is a section $x_{1}, x_{2}, \ldots, x_{2 k+1}$ such that either:
(i) $x_{2 i-1}=x_{2 i}=1$ for some $1 \leq i \leq k$ and all other $x_{j}$ 's are 0 , or
(ii) $x_{2 k+1}=1$ and all other $x_{j}$ 's are 0 .

## Our function

A concrete example: $n=9^{2}$

|  | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :---: |
| 1 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 |  |
| 2 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 0 |  |
| 3 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  |
| 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |  |
| 5 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | incomplete pair |
| 6 | 0 | 0 | 1 | 1 | 0 | 1 | 0 | 0 | 0 | extra 1 bit |
| 7 | 0 | 1 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | misaligned pair |
| 8 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | all zeroes |
| 9 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | all zeroes |

A row is "good" if either:

- there is exactly one 1 bit and it is in the last column;
- there is exactly two 1 bits, they are "paired" and the pair is correctly aligned.


## Proof of the main result

$$
s(f) \geq 2 k+1, b s(f) \geq(2 k+1)(k+1)
$$

- we observe that for input $w=0 \ldots 0$ we have $s(f, w) \geq 2 k+1$ and $b s(f, w) \geq(2 k+1)(k+1)$

$$
b s(f)=(2 k+1)(k+1)
$$

- assume we have already proved that $s(f)=2 k+1$;
- assume that the maximal block sensitivity is achieved using $u$ blocks of size 1 and $v$ blocks of size a least 2 ;
- from the sensitivity we have $u \leq 2 k+1$;
- from the total number of variables we have $u+2 v \leq(2 k+1)^{2}$;
- taken together:

$$
b s(f)=u+v \leq \frac{1}{2}\left((2 k+1)+(2 k+1)^{2}\right)=(2 k+1)(k+1) .
$$

## Proof of the main result (cont.)

## $s(f) \leq 2 k+1$

We consider two cases for arbitrary input $w$ :

- $f(w)=1$
- if there is only one "good" section, we have at most $2 k+1$ choices for the bit to alter;
- if there are at least two "good" sections, we can't change the value of $f$ by flipping just one input bit.
- $f(w)=0$
- we prove that for each of the $2 k+1$ sections there is at most one bit whose change could flip the value of $f$;
- proof by case analysis (consider how 1 bits could be distributed among pairs and unpaired bit).


## Questions

## Questions?

More details in our paper:
http://tinyurl.com/blocksensitivity

