

Separations in Query Complexity Based on Pointer Functions (with a slight hint of quantum complexity)

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(presented at QIP'16, to appear in STOC'16)

Introduction

Deterministic

Randomised

Quantum

Separations

Overview of Results

Göös-Pitassi-Watson

Our Modifications

R_1 versus R_0

R_0 versus D

Conclusion

Introduction

A glowing lightbulb is centered in the frame against a dark background. The filament is lit, casting a warm, golden light. Overlaid on the lightbulb is the word "SEPARATIONS" in a large, bold, metallic font. The letters have a weathered, industrial appearance with visible rivets and a slightly distressed texture. The word is positioned horizontally, with the 'S' being particularly large and stylized, featuring a decorative, flame-like or wing-like element on its left side. The light from the bulb illuminates the text, creating a strong contrast between the bright letters and the dark background.

SEPARATIONS

Computational Models: Deterministic

Introduction

Deterministic

Randomised

Quantum

Separations

Overview of Results

Göös-Pitassi-Watson

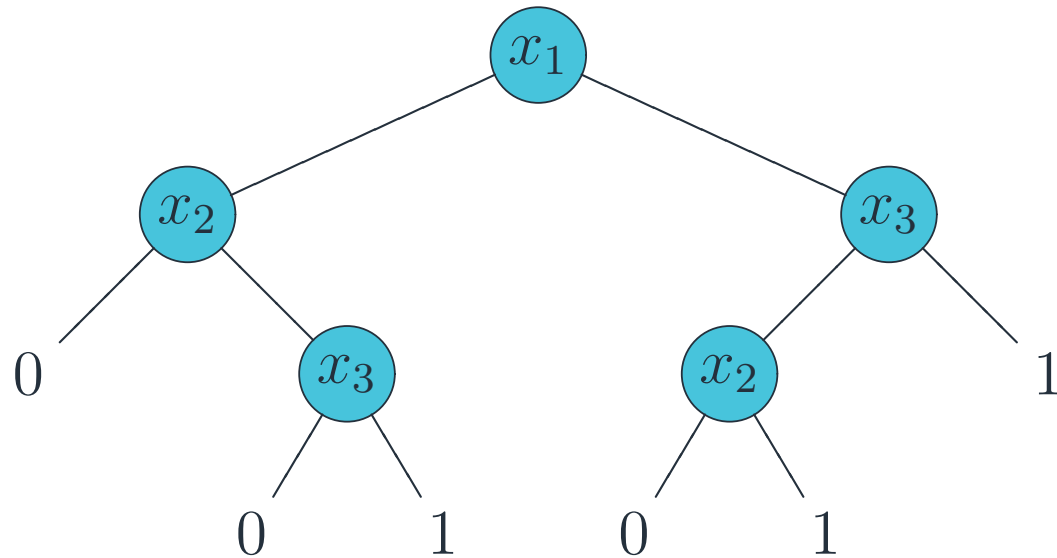
Our Modifications

R_1 versus R_0

R_0 versus D

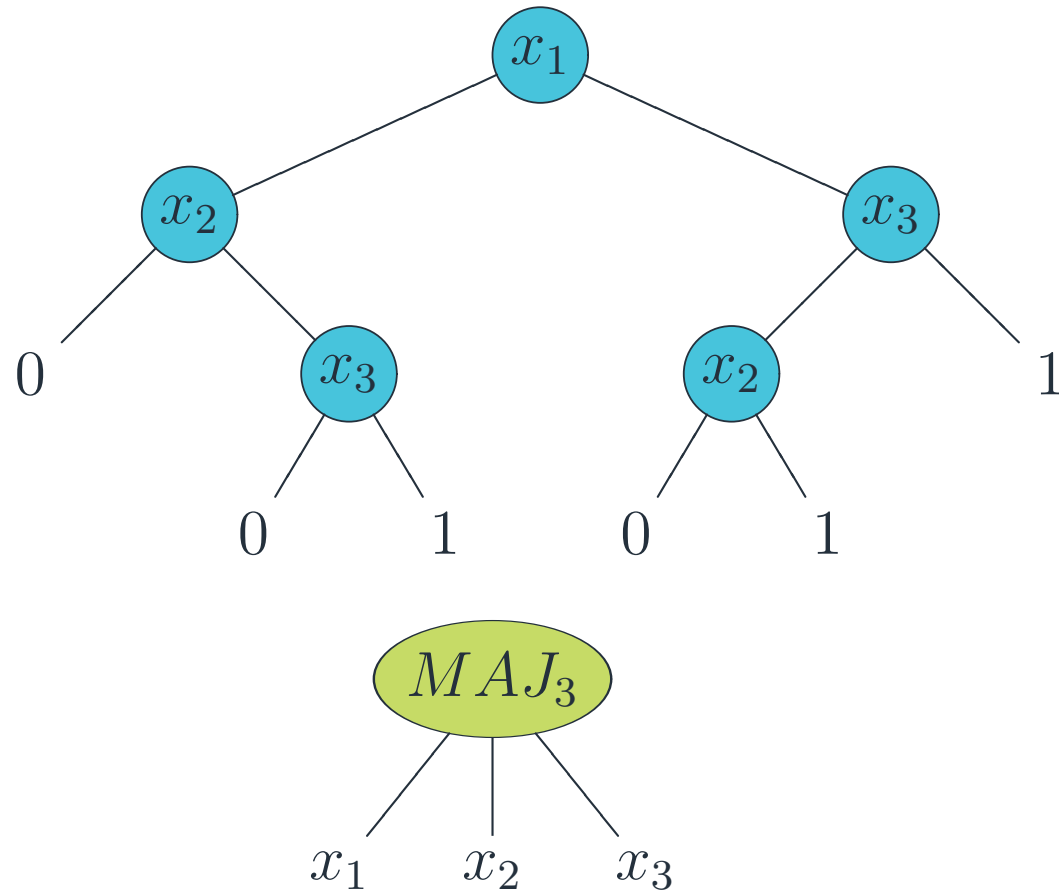
Conclusion

D : Deterministic (Decision Tree)



Computational Models: Deterministic

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Computational Models: Deterministic

Introduction

Deterministic

Randomised

Quantum

Separations

Overview of Results

Göös-Pitassi-Watson

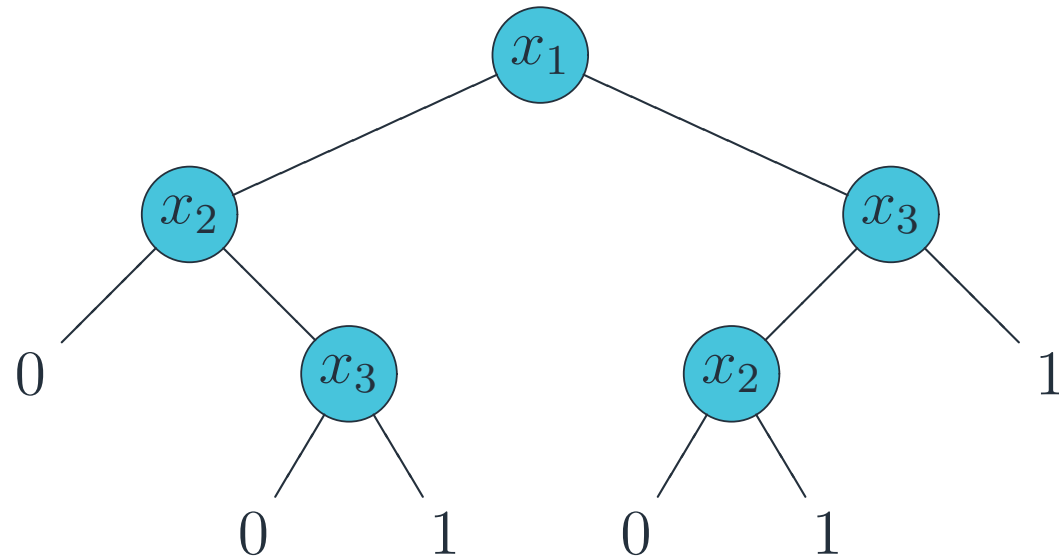
Our Modifications

R_1 versus R_0

R_0 versus D

Conclusion

D : Deterministic (Decision Tree)



Complexity

- on input: Number of queries (length of the path) 2 or 3
- in total: Worst input (depth of the tree) 3

Computational Models: Randomised

Introduction

Deterministic

Randomised

Quantum

Separations

Overview of Results

Göös-Pitassi-Watson

Our Modifications

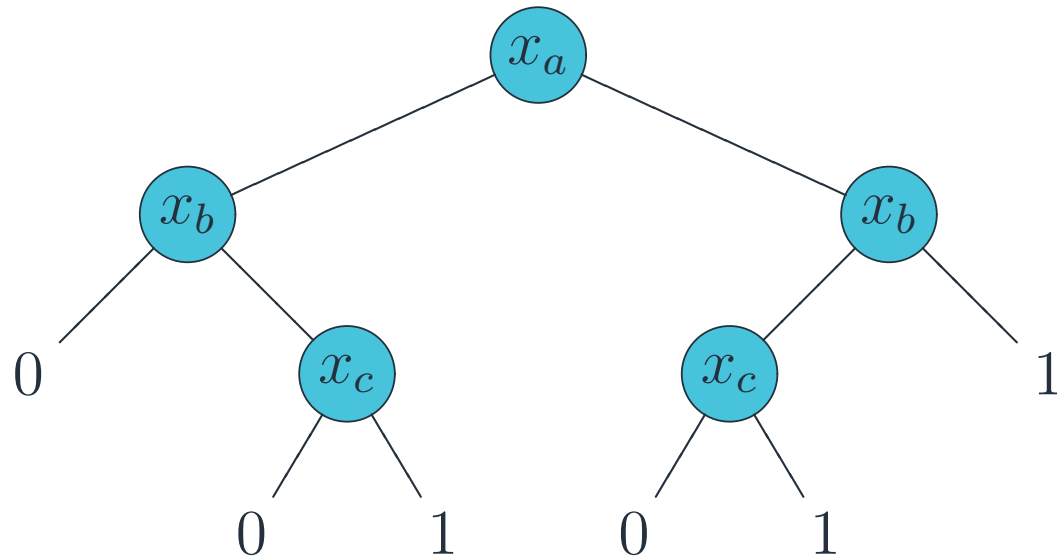
R_1 versus R_0

R_0 versus D

Conclusion

D : Deterministic (Decision Tree)

R : Randomised (Probability distribution on decision trees)



a, b, c : uniform random permutation of 1, 2, 3.

Complexity

- on input: Expected number of queries 2 or $\frac{8}{3}$
- in total: Worst input $\frac{8}{3}$

Computational Model: Randomised

Introduction

Deterministic

Randomised

Quantum

Separations

Overview of Results

Göös-Pitassi-Watson

Our Modifications

R_1 versus R_0

R_0 versus D

Conclusion

D : Deterministic (Decision Tree)

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R_0 : Zero-error (Las Vegas)

- always outputs the correct output

Computational Models: Randomised

Introduction

Deterministic

Randomised

Quantum

Separations

Overview of Results

Göös-Pitassi-Watson

Our Modifications

R_1 versus R_0

R_0 versus D

Conclusion

D : Deterministic (Decision Tree)

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- always outputs the correct output

R_2 : Bounded-error (Monte Carlo)

- rejects a negative input with probability $\geq \frac{2}{3}$
- accepts a positive input with probability $\geq \frac{2}{3}$

Computational Models: Randomised

Introduction

Deterministic

Randomised

Quantum

Separations

Overview of Results

Göös-Pitassi-Watson

Our Modifications

R_1 versus R_0

R_0 versus D

Conclusion

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R : Randomised (Probability distribution on decision trees)

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- always outputs the correct output

R_1 : One-sided error

- always rejects a negative input
- accepts a positive input with probability $\geq \frac{1}{2}$
(or vice versa)

R_2 : Bounded-error (Monte Carlo)

- rejects a negative input with probability $\geq \frac{2}{3}$
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Computational Models: Quantum

Introduction

Deterministic

Randomised

Quantum

Separations

Overview of Results

Göös-Pitassi-Watson

Our Modifications

R_1 versus R_0

R_0 versus D

Conclusion

D : Deterministic (Decision Tree)

R : Randomised (Probability distribution on decision trees)

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R_2 : Bounded-error (Monte Carlo)

- rejects a negative input with probability $\geq \frac{2}{3}$
- accepts a positive input with probability $\geq \frac{2}{3}$

Q : Quantum

Q_E : Exact

Q_2 : Bounded-error

Introduction

Deterministic

Randomised

Quantum

Separations

Overview of Results

Göös-Pitassi-Watson

Our Modifications

R_1 versus R_0

R_0 versus D

Conclusion

Easy for **partial** functions

Introduction

Deterministic

Randomised

Quantum

Separations

Overview of Results

Göös-Pitassi-Watson

Our Modifications

R_1 versus R_0

R_0 versus D

Conclusion

Easy for **partial** functions

Example: Deutsch-Jozsa problem (almost)

- **Reject** iff all input variables are zeroes

0	0	0	0	0	0	0	0
---	---	---	---	---	---	---	---

- **Accept** iff exactly half of the variables are ones

0	1	0	1	1	0	0	1
---	---	---	---	---	---	---	---

Introduction

Deterministic

Randomised

Quantum

Separations

Overview of Results

Göös-Pitassi-Watson

Our Modifications

R_1 versus R_0

R_0 versus D

Conclusion

Easy for **partial** functions

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$$R_1 = 1$$

Introduction

Deterministic

Randomised

Quantum

Separations

Overview of Results

Göös-Pitassi-Watson

Our Modifications

R_1 versus R_0

R_0 versus D

Conclusion

Easy for **partial** functions

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$$R_1 = 1, \quad Q_E = 1,$$

Easy for **partial** functions

Example: Deutsch-Jozsa problem (almost)

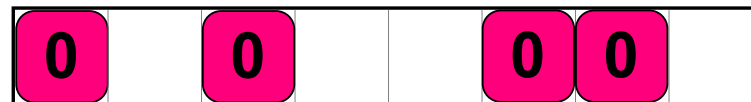
- **Reject** iff all input variables are zeroes



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$$R_1 = 1, \quad Q_E = 1, \quad R_0 = n/2 + 1$$



Introduction

Deterministic

Randomised

Quantum

Separations

Overview of Results

Göös-Pitassi-Watson

Our Modifications

R_1 versus R_0

R_0 versus D

Conclusion

Easy for **partial** functions

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0	0	0	0	0	0	0	0
---	---	---	---	---	---	---	---

- **Accept** iff exactly half of the variables are ones

Total Functions — ???

0		0			0	0	
---	--	---	--	--	---	---	--

Introduction

Overview of Results

Iterated Functions

Record-Holder

Our Main Results

Göös-Pitassi-Watson

Our Modifications

R_1 versus R_0

R_0 versus D

Conclusion

Overview of Results

Introduction

Overview of Results

Iterated Functions

Record-Holder

Our Main Results

Göös-Pitassi-Watson

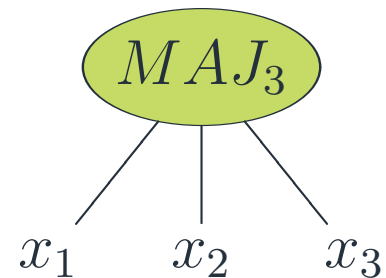
Our Modifications

R_1 versus R_0

R_0 versus D

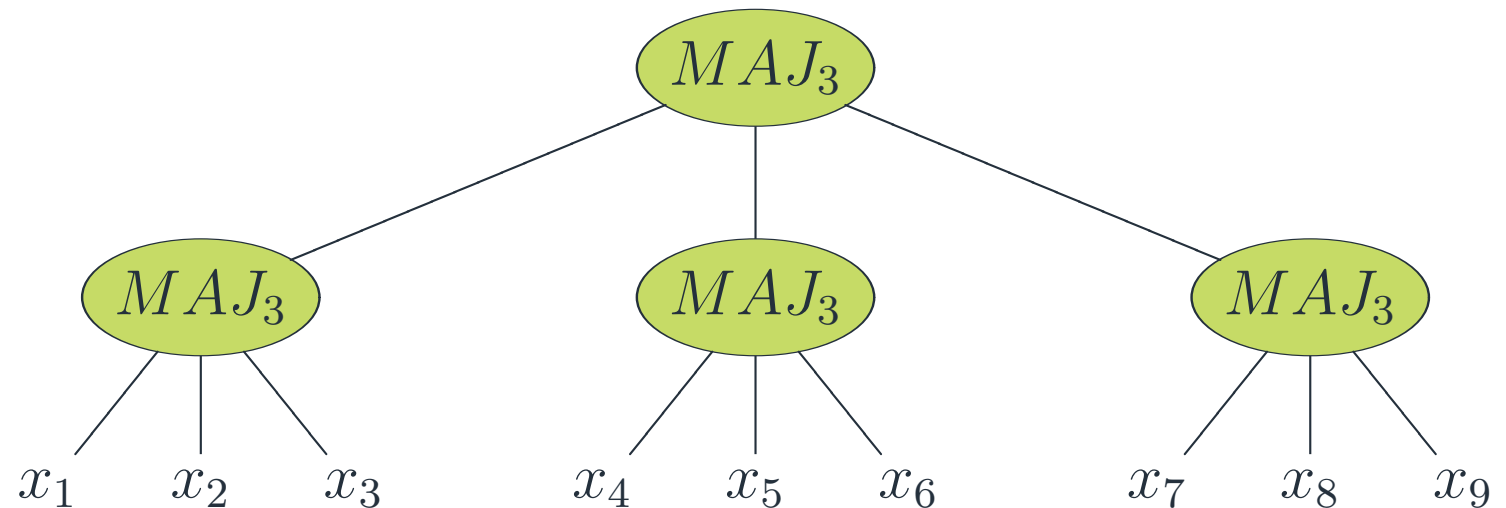
Conclusion

We have just seen $D(MAJ_3) = 3$ and $R_0(MAJ_3) = 8/3$.



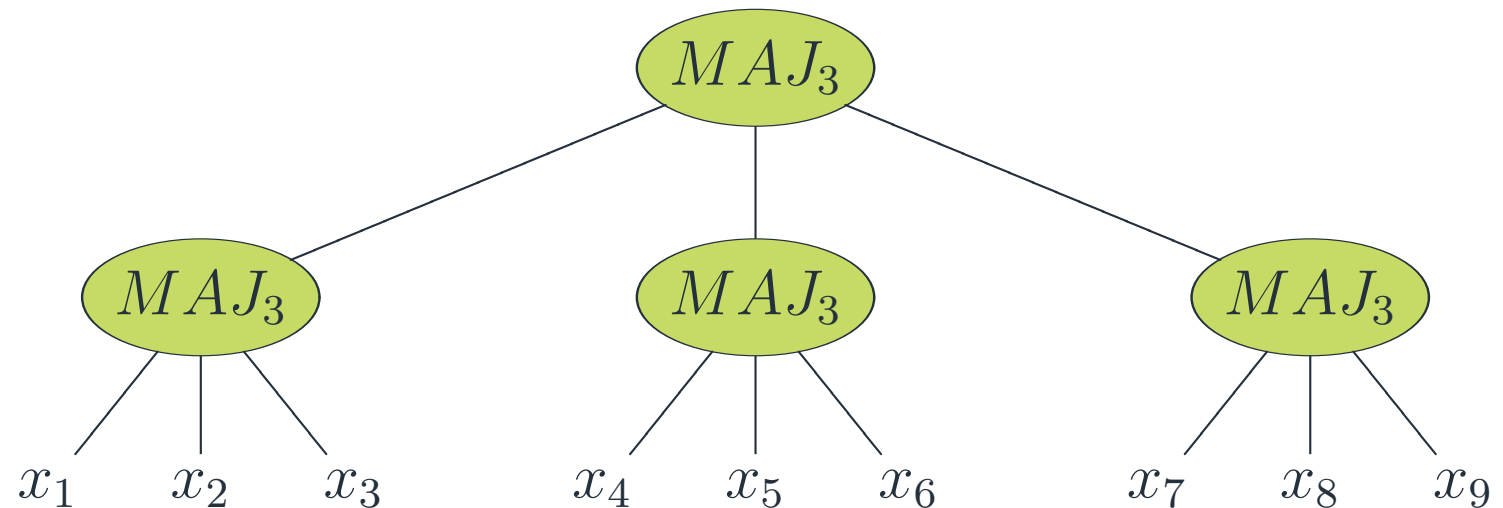
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Iterate it:



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Iterate it:

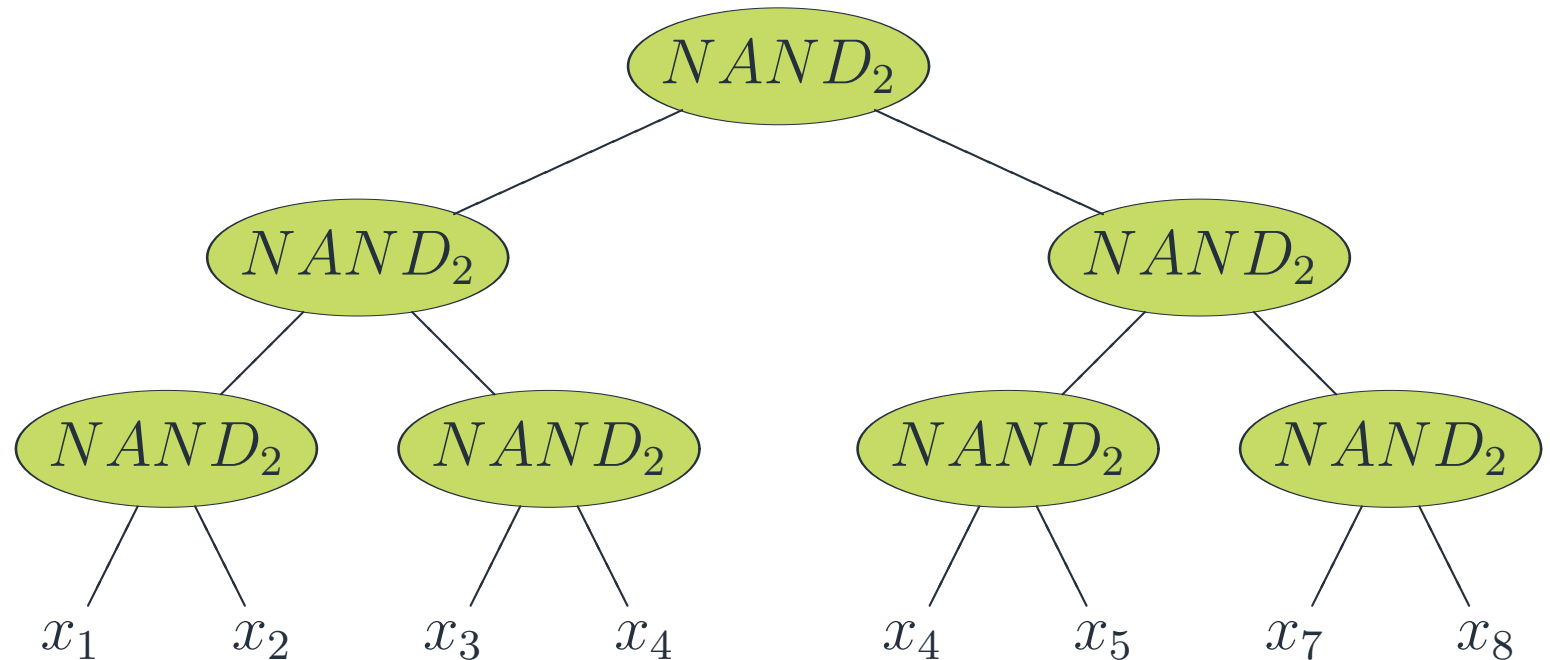


We get

$$D(MAJ_3^d) = 3^d \quad \text{and} \quad R_0(MAJ_3^d) \leq (8/3)^d.$$

(Actually, it is less...)

Iterated NAND: record-holder for R_0, R_1, R_2 versus D



We have [Snir'85, Saks & Wigderson'86]:

$$R_0 = R_1 = R_2 = O(n^{0.7537...}), \quad D = n$$

Introduction

Overview of Results

Iterated Functions

Record-Holder

Our Main Results

Göös-Pitassi-Watson

Our Modifications

R_1 versus R_0

R_0 versus D

Conclusion

We have [Snir'85, Saks & Wigderson'86]:

$$R_0 = R_1 = R_2 = O(n^{0.7537\dots}), \quad D = n$$

It is known [Nisan'89]

$$D = O(R_1^2)$$

Our Main Results

Introduction

Overview of Results

Iterated Functions

Record-Holder

Our Main Results

Göös-Pitassi-Watson

Our Modifications

R_1 versus R_0

R_0 versus D

Conclusion

It is known [Nisan'89]

$$D = O(R_1^2)$$

We get functions with:

$$D = \tilde{\Theta}(R_0^2)$$



$$R_0 = \tilde{\Theta}(R_1^2)$$



Our Main Results

Introduction

Overview of Results

Iterated Functions

Record-Holder

Our Main Results

Göös-Pitassi-Watson

Our Modifications

R_1 versus R_0

R_0 versus D

Conclusion

It is known [Nisan'89]

$$D = O(R_1^2)$$

We get functions with:

$$D = \tilde{\Theta}(R_0^2)$$



$$R_0 = \tilde{\Theta}(R_1^2)$$



The last one also saturates [Kulkarni & Tal'13, Midrijānis'05]

$$R_0 = \tilde{O}(R_2^2)$$

Introduction

Overview of Results

Göös-Pitassi-Watson

Paper

Goal

D versus 1-certificates

Pointers

Adversary Method

D Lower Bound

Features of Pointers

Our Modifications

R_1 versus R_0

R_0 versus D

Conclusion

Göös-Pitassi-Watson

Introduction

Overview of Results

Göös-Pitassi-Watson

Paper

Goal

D versus 1-certificates

Pointers

Adversary Method

D Lower Bound

Features of Pointers

Our Modifications

R_1 versus R_0

R_0 versus D

Conclusion

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Deterministic Communication vs. Partition Number

Mika Göös Toniann Pitassi Thomas Watson

Department of Computer Science, University of Toronto

April 1, 2015

Abstract

We show that deterministic communication complexity can be superlogarithmic in the partition number of the associated communication matrix. We also obtain near-optimal deterministic lower bounds for the Clique vs. Independent Set problem, which in particular yields new lower bounds for the log-rank conjecture. All these results follow from a simple adaptation of a communication-to-query simulation theorem of Raz and McKenzie (Combinatorica 1999) together with lower bounds for the analogous query complexity questions.

Introduction

Overview of Results

Göös-Pitassi-Watson

Paper

Goal

D versus 1-certificates

Pointers

Adversary Method

D Lower Bound

Features of Pointers

Our Modifications

R_1 versus R_0

R_0 versus D

Conclusion

- **Clique vs. Independent Set** in communication complexity
- Reduce to a problem in query complexity: Find a function that
 - ☐ has large deterministic complexity
 - ☐ has small **unambiguous** 1-certificates

There exists a number of 1-certificates such that each positive input satisfies **exactly** one of them.

D versus 1-certificates

Introduction

Overview of Results

Göös-Pitassi-Watson

Paper

Goal

D versus 1-certificates

Pointers

Adversary Method

D Lower Bound

Features of Pointers

Our Modifications

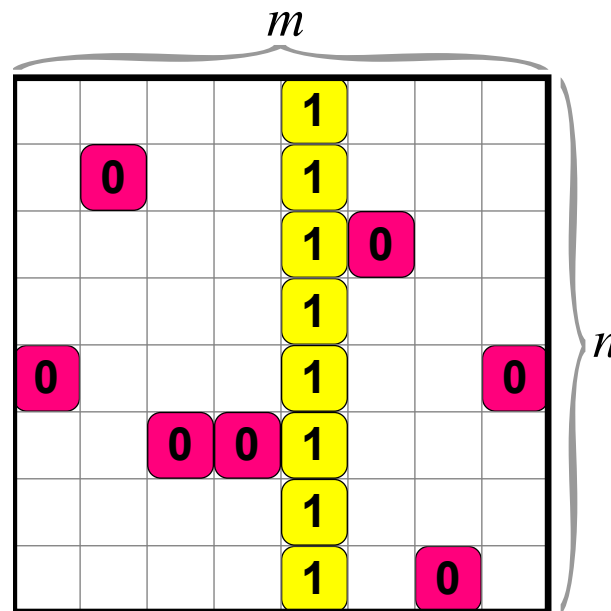
R_1 versus R_0

R_0 versus D

Conclusion

Function on nm Boolean variables

- **Accept** iff there exists a unique all-1 column



- $D = nm$
- short 1-certificates $(n + m - 1)$, **BUT not** unambiguous.

D versus 1-certificates

Introduction

Overview of Results

Göös-Pitassi-Watson

Paper

Goal

D versus 1-certificates

Pointers

Adversary Method

D Lower Bound

Features of Pointers

Our Modifications

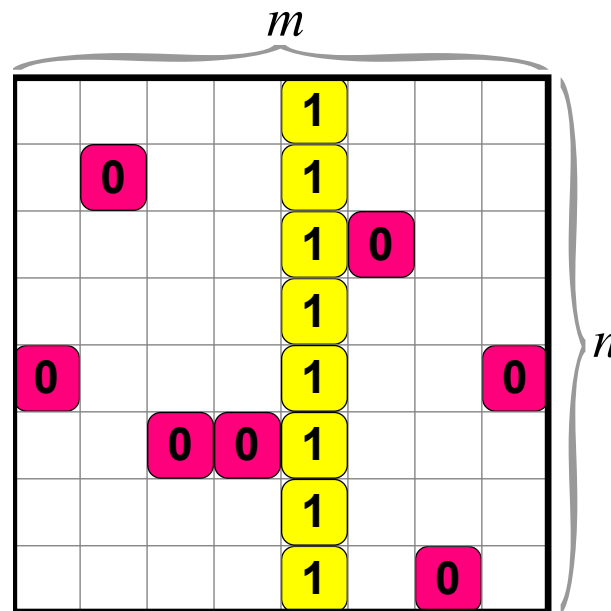
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R_0 versus D

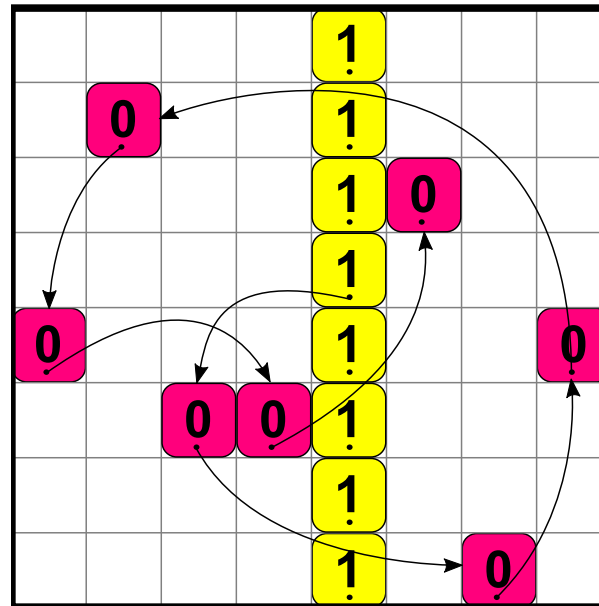
Conclusion

Function on nm Boolean variables

- **Accept** iff there exists a unique all-1 column



- $D = nm$
- short 1-certificates $(n + m - 1)$, **BUT not** unambiguous. Should specify which zero to take in each column!



- **Alphabet:** $\{0, 1\} \times ([n] \times [m] \cup \{\perp\})$
Not **Boolean**, but we can encode using $O(\log(n + m))$ bits.
- **Accept** iff
 - There is a (unique) all-1 column b ;
 - in b , there is a unique element r with non-zero pointer;
 - following the pointers from r , we traverse through exactly one zero in each column but b .

Introduction

Overview of Results

Göös-Pitassi-Watson

Paper

Goal

D versus 1-certificates

Pointers

Adversary Method

D Lower Bound

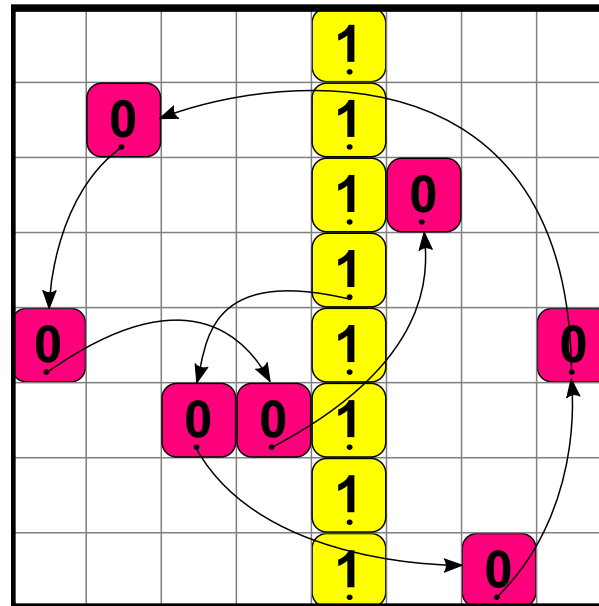
Features of Pointers

Our Modifications

R_1 versus R_0

R_0 versus D

Conclusion



- short unambiguous 1-certificates ($n + m - 1$)

Introduction

Overview of Results

Göös-Pitassi-Watson

Paper

Goal

D versus 1-certificates

Pointers

Adversary Method

D Lower Bound

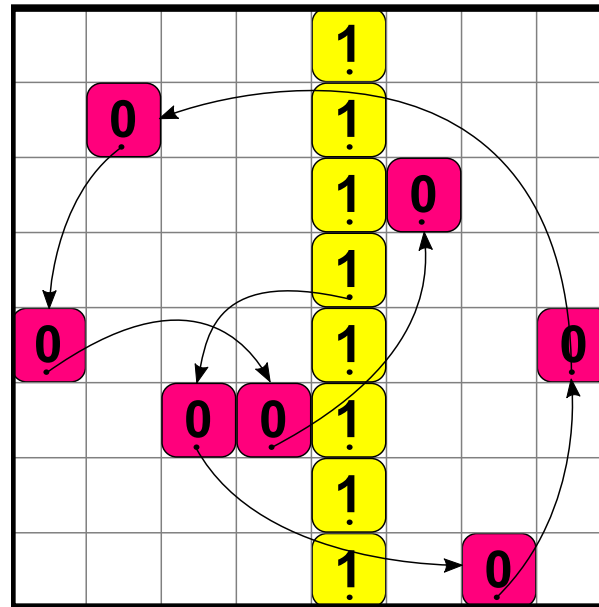
Features of Pointers

Our Modifications

R_1 versus R_0

R_0 versus D

Conclusion



- short unambiguous 1-certificates ($n + m - 1$)
- Still have $D = nm$ (Adversary argument, next slide)

Introduction

Overview of Results

Göös-Pitassi-Watson

Paper

Goal

D versus 1-certificates

Pointers

Adversary Method

D Lower Bound

Features of Pointers

Our Modifications

R_1 versus R_0

R_0 versus D

Conclusion

Adversary finds a bad input for each deterministic decision tree, by playing along with the decision tree.

Adversary Method

Adversary finds a bad input for each deterministic decision tree, by **playing along with the decision tree.**



Introduction

Overview of Results

Göös-Pitassi-Watson

Paper

Goal

D versus 1-certificates

Pointers

Adversary Method

D Lower Bound

Features of Pointers

Our Modifications

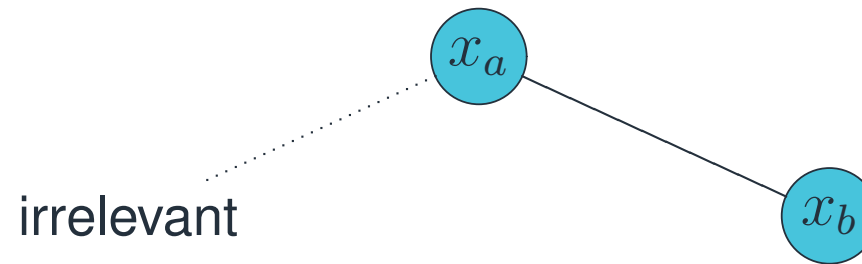
R_1 versus R_0

R_0 versus D

Conclusion

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Introduction

Overview of Results

Göös-Pitassi-Watson

Paper

Goal

D versus 1-certificates

Pointers

Adversary Method

D Lower Bound

Features of Pointers

Our Modifications

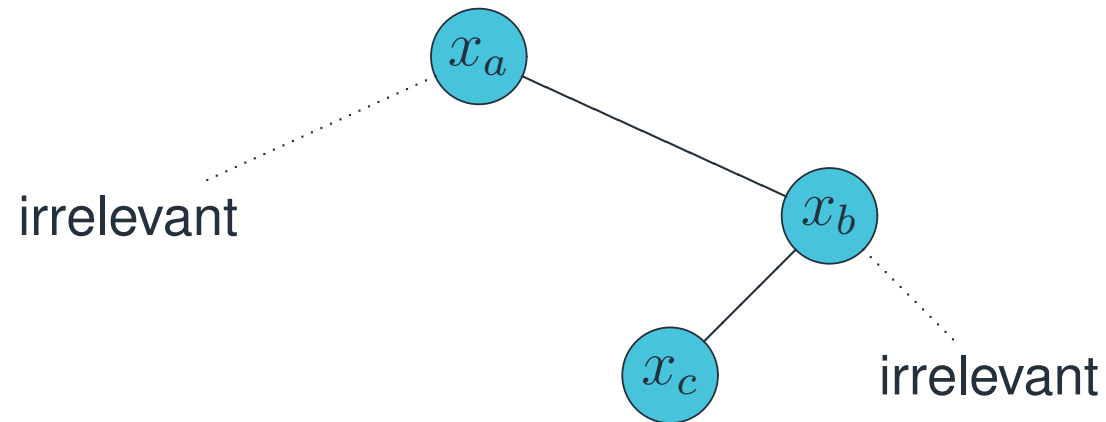
R_1 versus R_0

R_0 versus D

Conclusion

Adversary Method

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Introduction

Overview of Results

Göös-Pitassi-Watson

Paper

Goal

D versus 1-certificates

Pointers

Adversary Method

D Lower Bound

Features of Pointers

Our Modifications

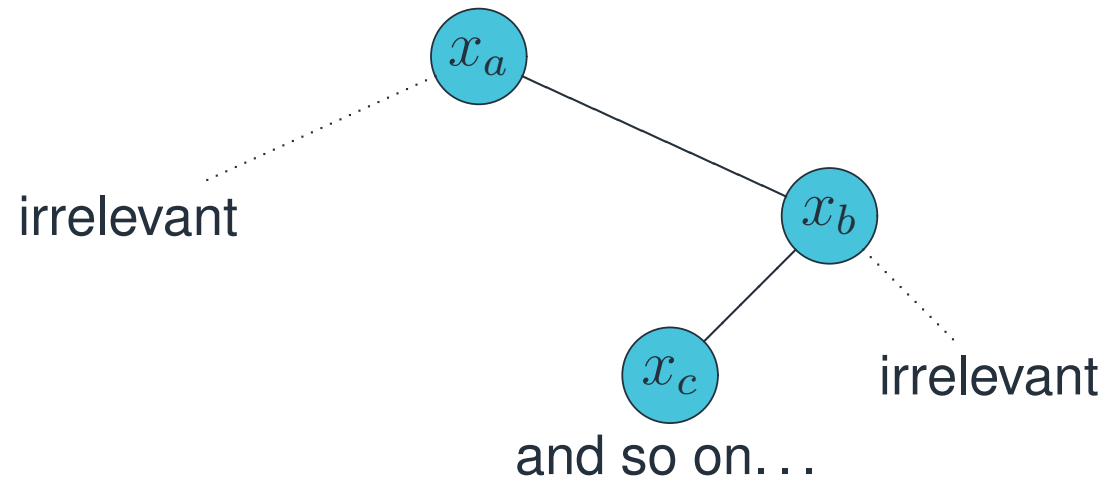
R_1 versus R_0

R_0 versus D

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Adversary Method

Adversary finds a bad input for each deterministic decision tree, by **playing along with the decision tree.**



For each queried variable, the adversary provides the value, **so that the value of the function is unknown as long as possible.**

Introduction

Overview of Results

Göös-Pitassi-Watson

Paper

Goal

D versus 1-certificates

Pointers

Adversary Method

D Lower Bound

Features of Pointers

Our Modifications

R_1 versus R_0

R_0 versus D

Conclusion

Deterministic Lower Bound

Introduction

Overview of Results

Göös-Pitassi-Watson

Paper

Goal

D versus 1-certificates

Pointers

Adversary Method

D Lower Bound

Features of Pointers

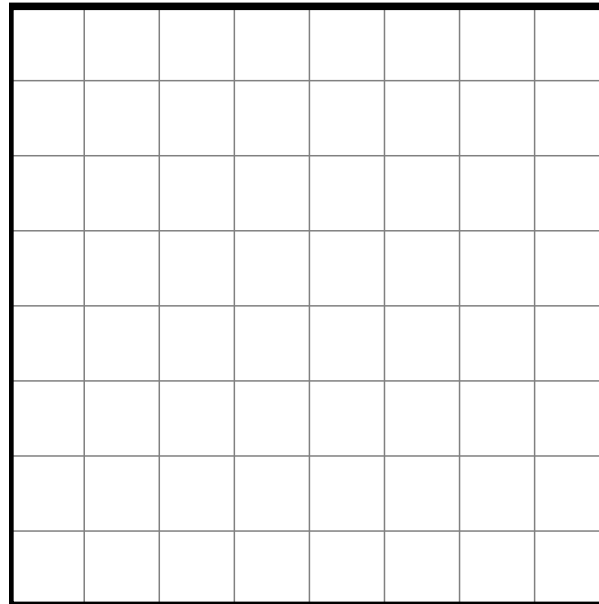
Our Modifications

R_1 versus R_0

R_0 versus D

Conclusion

- **While** there are non-queried elements in a column:
 - Return **1**.
- **When** the last element in a column is queried:
 - Return **0**, linking it to the last returned **0**.



Deterministic Lower Bound

Introduction

Overview of Results

Göös-Pitassi-Watson

Paper

Goal

D versus 1-certificates

Pointers

Adversary Method

D Lower Bound

Features of Pointers

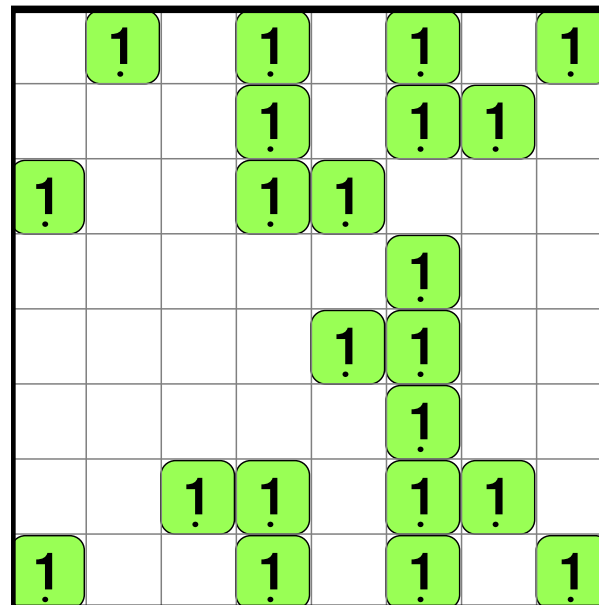
Our Modifications

R_1 versus R_0

R_0 versus D

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Deterministic Lower Bound

Introduction

Overview of Results

Göös-Pitassi-Watson

Paper

Goal

D versus 1-certificates

Pointers

Adversary Method

D Lower Bound

Features of Pointers

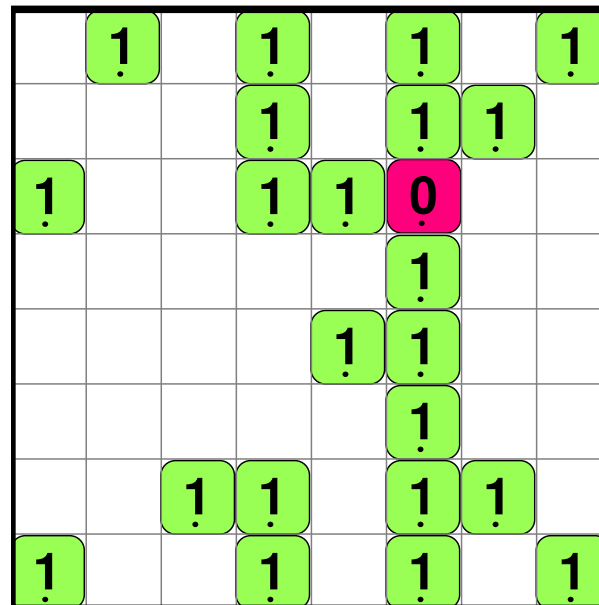
Our Modifications

R_1 versus R_0

R_0 versus D

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Deterministic Lower Bound

Introduction

Overview of Results

Göös-Pitassi-Watson

Paper

Goal

D versus 1-certificates

Pointers

Adversary Method

D Lower Bound

Features of Pointers

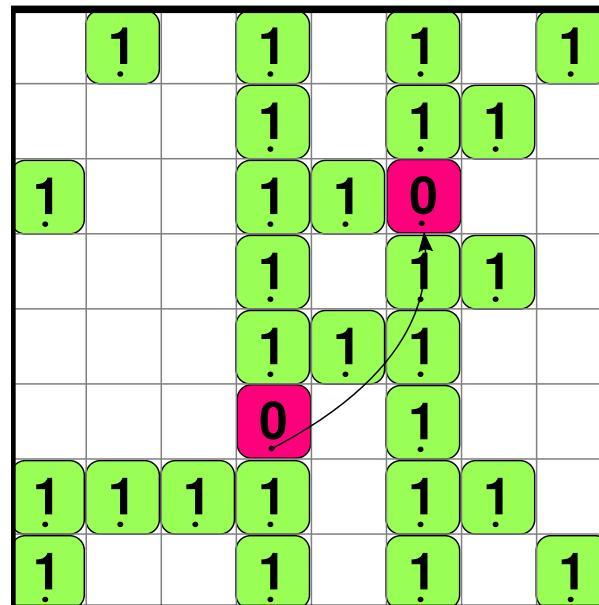
Our Modifications

R_1 versus R_0

R_0 versus D

Conclusion

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Deterministic Lower Bound

Introduction

Overview of Results

Göös-Pitassi-Watson

Paper

Goal

D versus 1-certificates

Pointers

Adversary Method

D Lower Bound

Features of Pointers

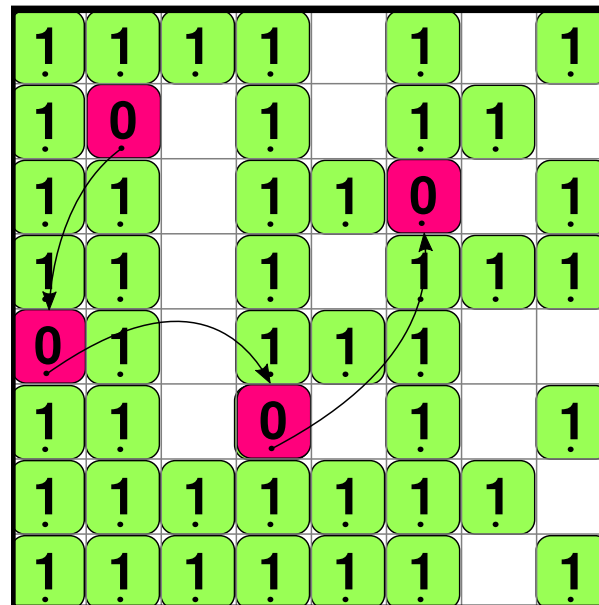
Our Modifications

R_1 versus R_0

R_0 versus D

Conclusion

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Deterministic Lower Bound

Introduction

Overview of Results

Göös-Pitassi-Watson

Paper

Goal

D versus 1-certificates

Pointers

Adversary Method

D Lower Bound

Features of Pointers

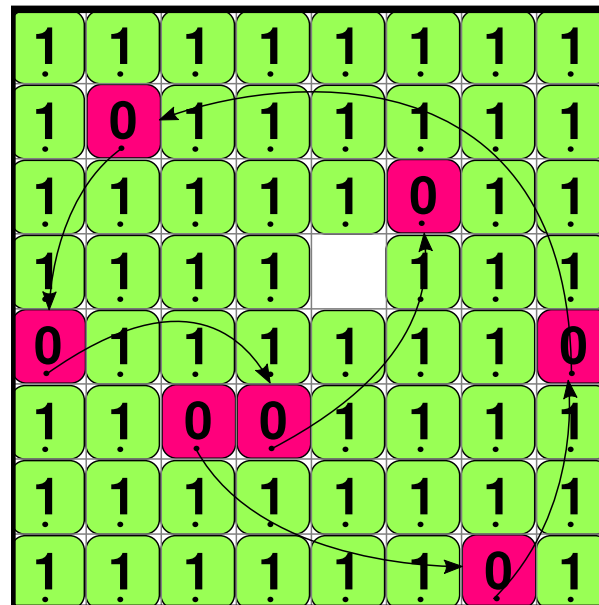
Our Modifications

R_1 versus R_0

R_0 versus D

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Deterministic Lower Bound

Introduction

Overview of Results

Göös-Pitassi-Watson

Paper

Goal

D versus 1-certificates

Pointers

Adversary Method

D Lower Bound

Features of Pointers

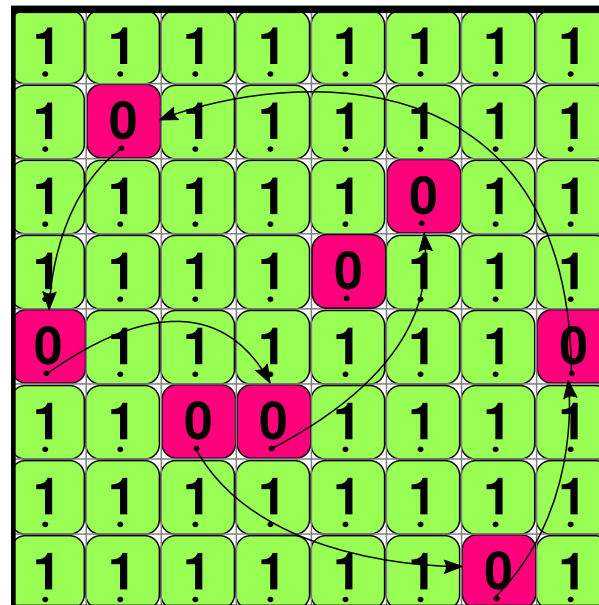
Our Modifications

R_1 versus R_0

R_0 versus D

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Deterministic Lower Bound

Introduction

Overview of Results

Göös-Pitassi-Watson

Paper

Goal

D versus 1-certificates

Pointers

Adversary Method

D Lower Bound

Features of Pointers

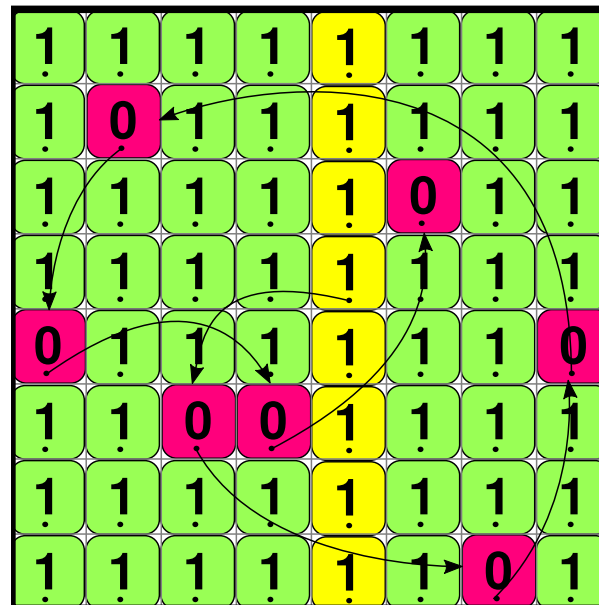
Our Modifications

R_1 versus R_0

R_0 versus D

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Features of Pointers

Highly elusive
(flexible)



Still traversable
(if know where to start).

Introduction

Overview of Results

Göös-Pitassi-Watson

Paper

Goal

D versus 1-certificates

Pointers

Adversary Method

D Lower Bound

Features of Pointers

Our Modifications

R_1 versus R_0

R_0 versus D

Conclusion

Introduction

Overview of Results

Göös-Pitassi-Watson

Our Modifications

Binary Tree

Definition (base)

R_1 versus R_0

R_0 versus D

Conclusion

Our Modifications

Introduction

Overview of Results

Göös-Pitassi-Watson

Our Modifications

Binary Tree

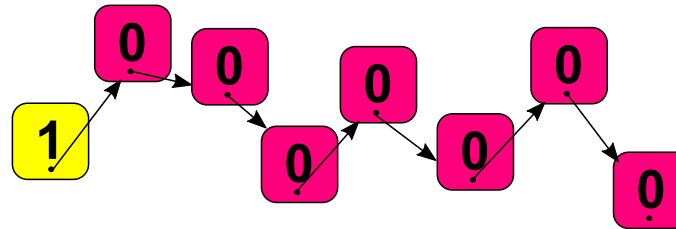
Definition (base)

R_1 versus R_0

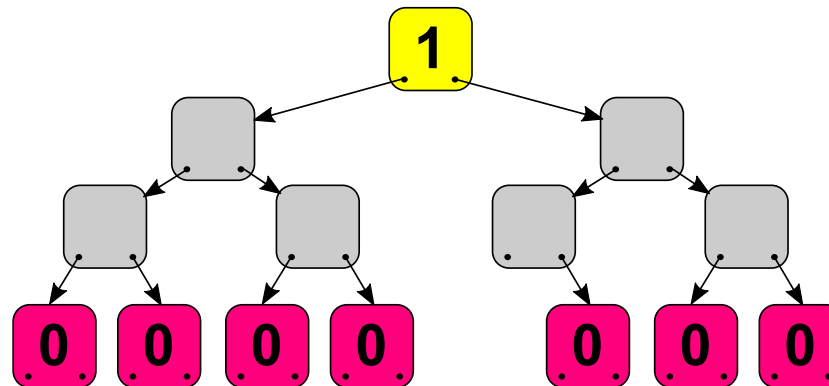
R_0 versus D

Conclusion

Instead of a list

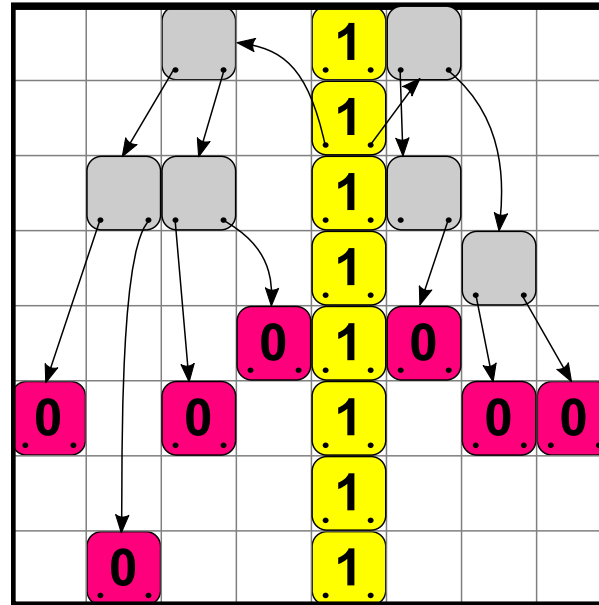


we use a balanced binary tree



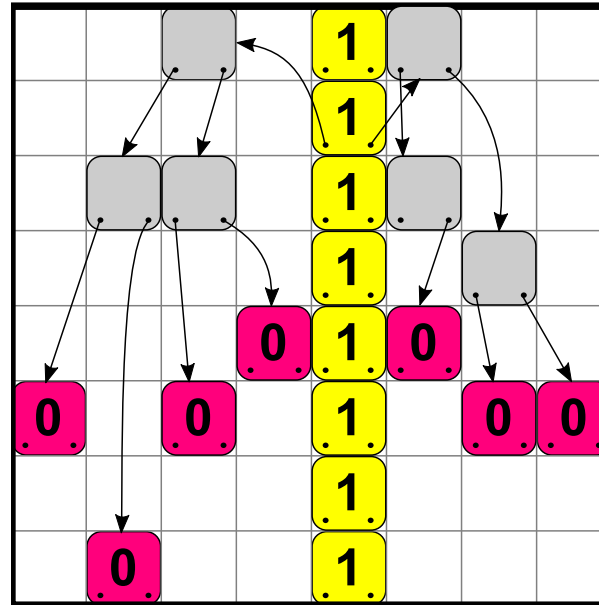
- More elusive
- Random access

Definition (base)



Accept iff

- There is a (unique) all-1 column b ;
- in b , there is a unique element r with non-zero pointers;
- for each $j \neq b$, following a path $T(j)$ from r gives a zero in the j th column.



Accept iff

- There is a (unique) all-1 column b ;
- in b , there is a unique element r with non-zero pointers;
- for each $j \neq b$, following a path $T(j)$ from r gives a zero in the j th column.
- Some additional information is contained in the leaves (to be defined).

Introduction

Overview of Results

Göös-Pitassi-Watson

Our Modifications

R_1 versus R_0

State of the Art

Reminder 1

Reminder 2

Definition

Totalisation

Check Column

R_1 Upper Bound

R_0 Lower Bound

Summary

R_0 versus D

Conclusion

R_1 **versus** R_0



Introduction

Overview of Results

Göös-Pitassi-Watson

Our Modifications

R_1 versus R_0

State of the Art

Reminder 1

Reminder 2

Definition

Totalisation

Check Column

R_1 Upper Bound

R_0 Lower Bound

Summary

R_0 versus D

Conclusion

■ NO separation was known even between R_2 and R_0 .

(Iterated functions are not of much help here.)

Reminder 1: Partial Separation

Introduction

Overview of Results

Göös-Pitassi-Watson

Our Modifications

R_1 versus R_0

State of the Art

Reminder 1

Reminder 2

Definition

Totalisation

Check Column

R_1 Upper Bound

R_0 Lower Bound

Summary

R_0 versus D

Conclusion

Recall the separation for a partial function

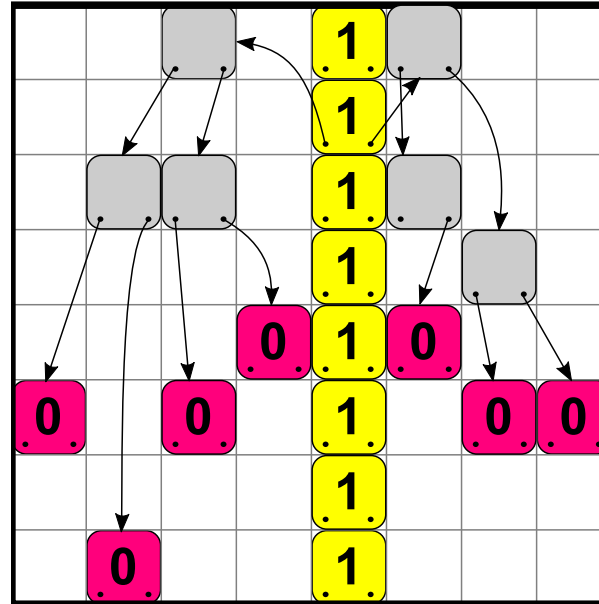
- **Reject** iff all input variables are zeroes

0	0	0	0	0	0	0	0
---	---	---	---	---	---	---	---

- **Accept** iff exactly half of the variables are ones

0	1	0	1	1	0	0	1
---	---	---	---	---	---	---	---

Reminder 2: Definition (base)



Accept iff

- There is a (unique) all-1 column b ;
- in b , there is a unique element r with non-zero pointers;
- for each $j \neq b$, following a path $T(j)$ from r gives a zero in the j th column.
- Some additional information is contained in the leaves (to be defined).

Introduction

Overview of Results

Göös-Pitassi-Watson

Our Modifications

R_1 versus R_0

State of the Art

Reminder 1

Reminder 2

Definition

Totalisation

Check Column

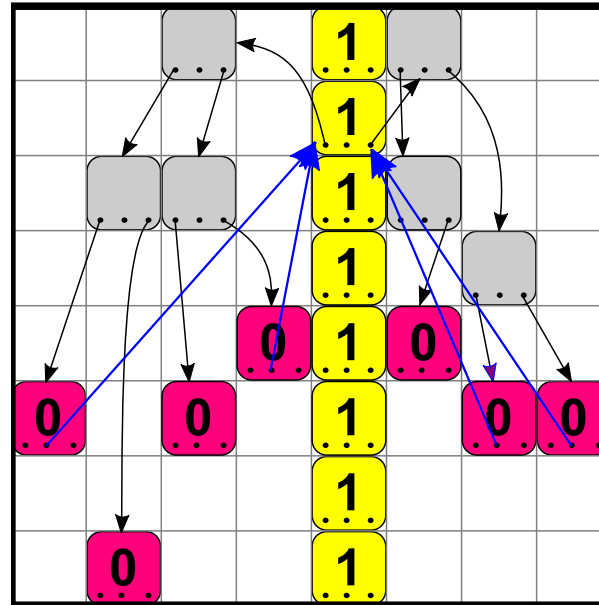
R_1 Upper Bound

R_0 Lower Bound

Summary

R_0 versus D

Conclusion



Accept iff

- There is a (unique) all-1 column b ;
- in b , there is a unique element r with non-zero pointers;
- for each $j \neq b$, following a path $T(j)$ from r gives a zero in the j th column.
- exactly $m/2$ of the leaves **back point** to the root r .

Introduction

Overview of Results

Göös-Pitassi-Watson

Our Modifications

R_1 versus R_0

State of the Art

Reminder 1

Reminder 2

Definition

Totalisation

Check Column

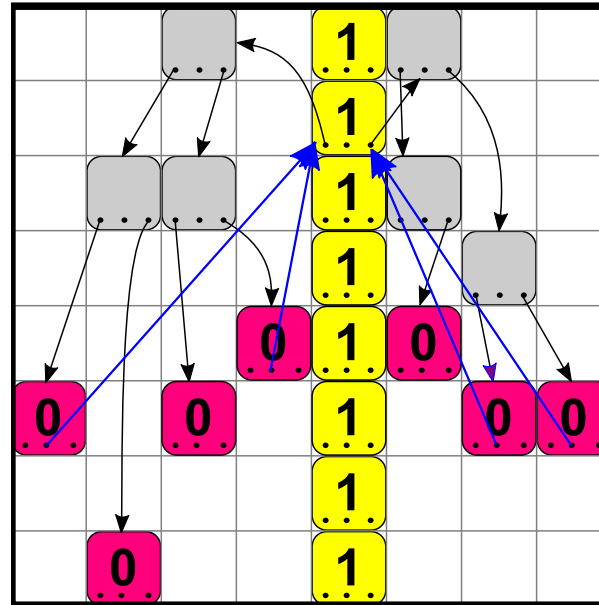
R_1 Upper Bound

R_0 Lower Bound

Summary

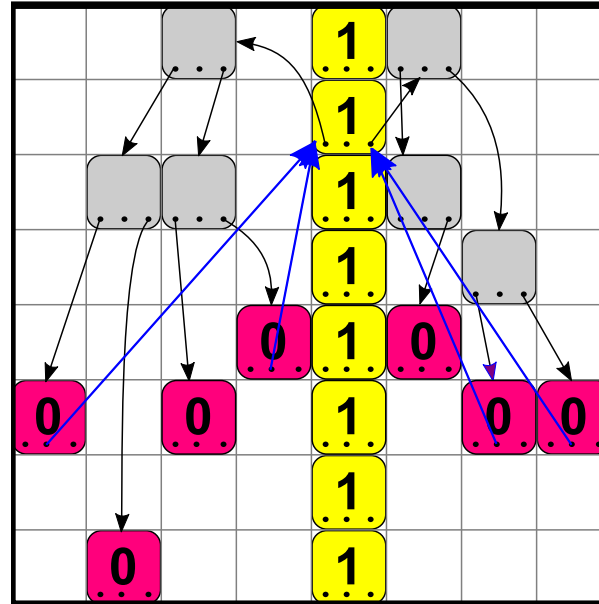
R_0 versus D

Conclusion



A column is **good** if it contains a leaf back pointing to the root of a legitimate tree.

- A positive input contains exactly $m/2$ good columns.
- A negative input contains no good columns.



A column is **good** if it contains a leaf back pointing to the root of a legitimate tree.

- A positive input contains exactly $m/2$ good columns.
- A negative input contains no good columns.

A total function looks like a partial function!

Check Column: Informal

Introduction

Overview of Results

Göös-Pitassi-Watson

Our Modifications

R_1 versus R_0

State of the Art

Reminder 1

Reminder 2

Definition

Totalisation

Check Column

R_1 Upper Bound

R_0 Lower Bound

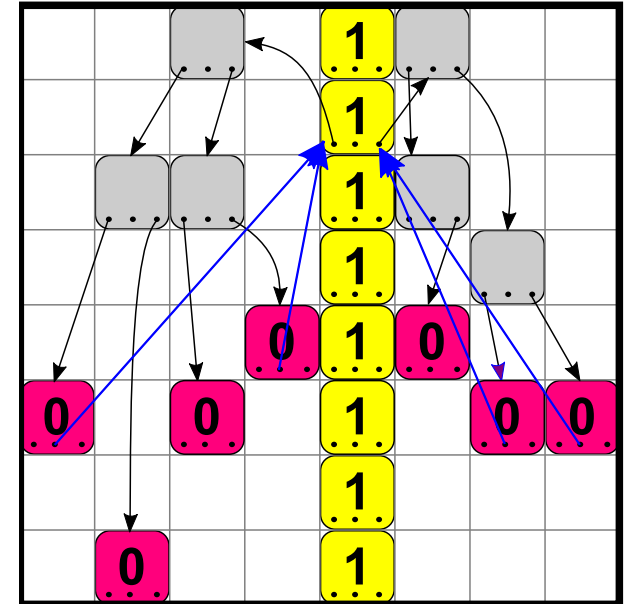
Summary

R_0 versus D

Conclusion

Deterministic subroutine

Given a column $c \in [m]$, accept iff it is good.



Check Column: Informal

Introduction

Overview of Results

Göös-Pitassi-Watson

Our Modifications

R_1 versus R_0

State of the Art

Reminder 1

Reminder 2

Definition

Totalisation

Check Column

R_1 Upper Bound

R_0 Lower Bound

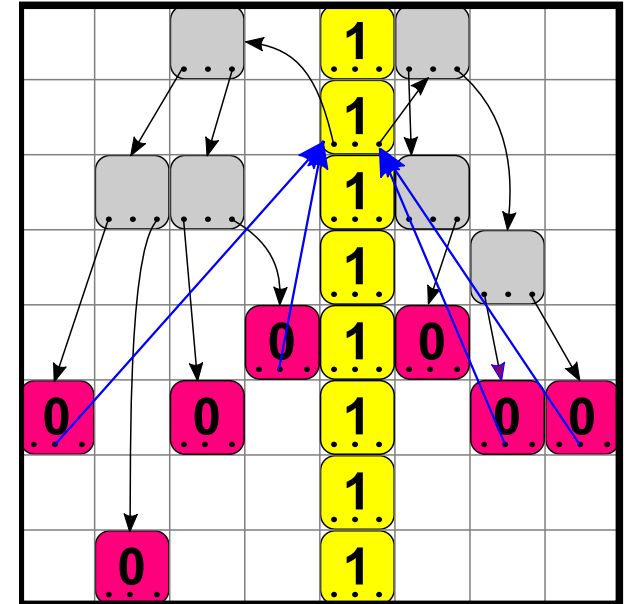
Summary

R_0 versus D

Conclusion

Deterministic subroutine

Given a column $c \in [m]$, accept iff it is good.



Go through column c , find the back pointer to r , and check the tree.

Check Column: Informal

Introduction

Overview of Results

Göös-Pitassi-Watson

Our Modifications

R_1 versus R_0

State of the Art

Reminder 1

Reminder 2

Definition

Totalisation

Check Column

R_1 Upper Bound

R_0 Lower Bound

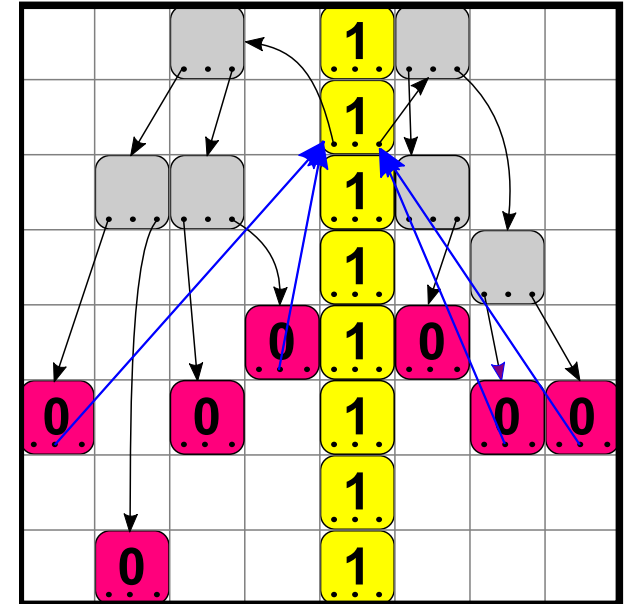
Summary

R_0 versus D

Conclusion

Deterministic subroutine

Given a column $c \in [m]$, accept iff it is good.



Go through column c , find the back pointer to r , and check the tree.

Wait, column c may contain many bogus pointers — ???

Check Column: Informal

Introduction

Overview of Results

Göös-Pitassi-Watson

Our Modifications

R_1 versus R_0

State of the Art

Reminder 1

Reminder 2

Definition

Totalisation

Check Column

R_1 Upper Bound

R_0 Lower Bound

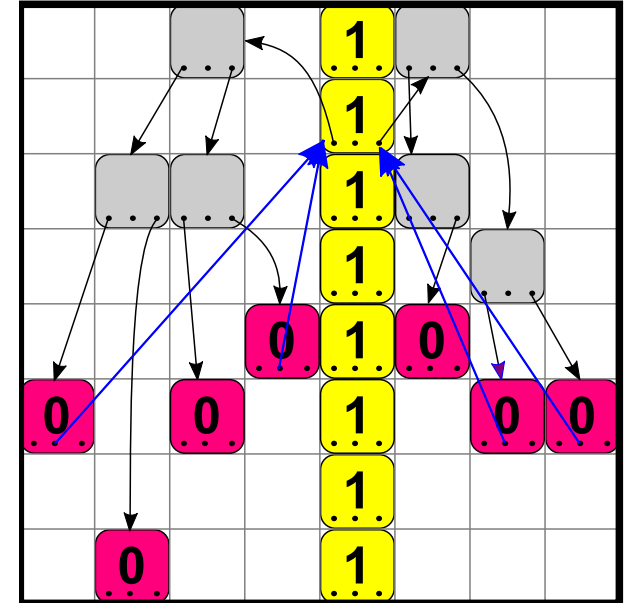
Summary

R_0 versus D

Conclusion

Deterministic subroutine

Given a column $c \in [m]$, accept iff it is good.



Go through column c , find the back pointer to r , and check the tree.

Wait, column c may contain many bogus pointers — ???

On each step, **either**

- **eliminate a column**: it is not the all-1 column; **or**
- **eliminate an element in column c** : it is not a leaf of the tree.

Check Column: Formal

Introduction

Overview of Results

Göös-Pitassi-Watson

Our Modifications

R_1 versus R_0

State of the Art

Reminder 1

Reminder 2

Definition

Totalisation

Check Column

R_1 Upper Bound

R_0 Lower Bound

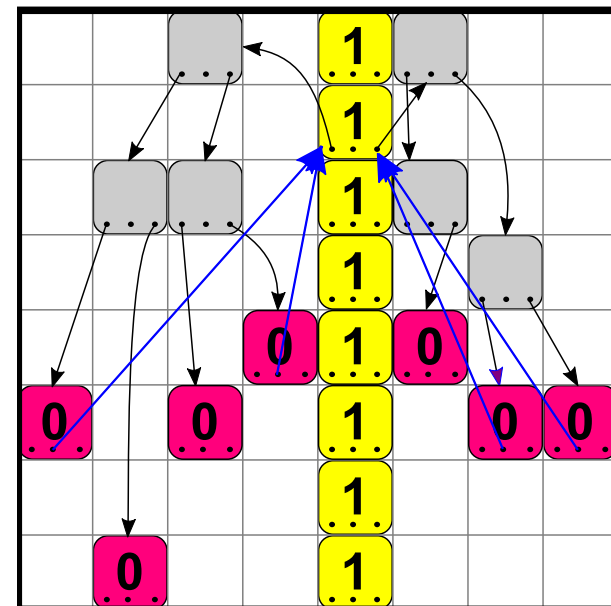
Summary

R_0 versus D

Conclusion

Deterministic subroutine

Given a column $c \in [m]$, accept iff it is good.



- **While** there is ≥ 2 non-eliminated columns:
 - Let a be a non-eliminated element in c . If none, **reject**.
 - Let r be the back pointer of a , and b be the column of r .
 - Let j be a non-eliminated column $\neq b$.
 - **If** the path $T(j)$ from r ends in a zero in column j , eliminate column j .
Otherwise, eliminate element a .
- Verify the only non-eliminated column.

Introduction

Overview of Results

Göös-Pitassi-Watson

Our Modifications

R_1 versus R_0

State of the Art

Reminder 1

Reminder 2

Definition

Totalisation

Check Column

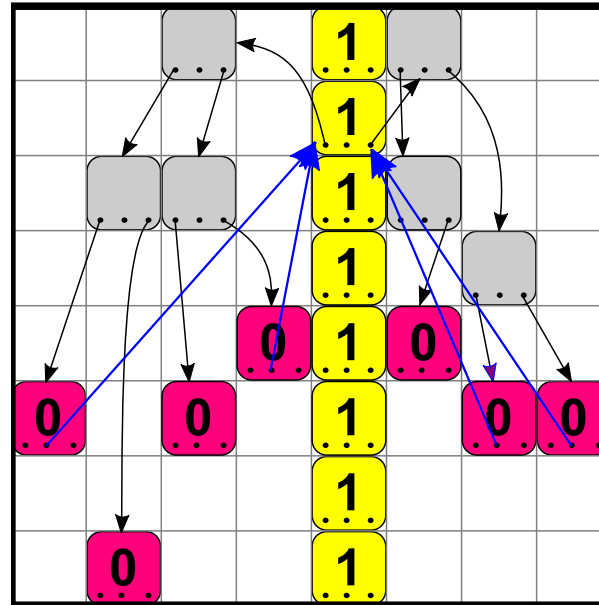
R_1 Upper Bound

R_0 Lower Bound

Summary

R_0 versus D

Conclusion



- On each iteration of the loop, either an element or a column gets eliminated. At most $n + m$ iterations.

Complexity: $\tilde{O}(n + m)$.

Sticking into Deutsch-Jozsa, get R_1 and Q_E upper bound of

$$\tilde{O}(n + m).$$

R_0 Lower Bound

1	1	1	1	1	1	1	1
1	1	1	0	1	1	0	1
1	1	1	1	1	1	1	1
1	1	1	1	0	1	1	1
0	1	1	1	1	0	1	1
1	1	0	1	1	1	1	0
1	1	1	1	1	1	1	1
1	0	1	1	1	1	1	1

(Negative) input with exactly one zero in each column.

Introduction

Overview of Results

Göös-Pitassi-Watson

Our Modifications

R_1 versus R_0

State of the Art

Reminder 1

Reminder 2

Definition

Totalisation

Check Column

R_1 Upper Bound

R_0 Lower Bound

Summary

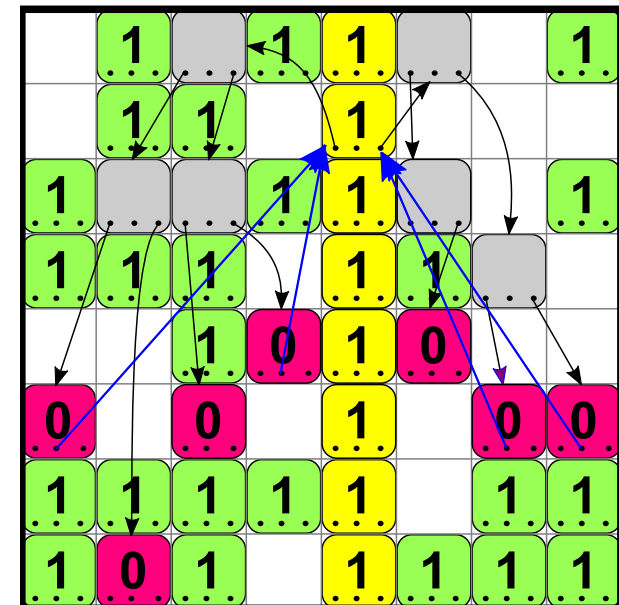
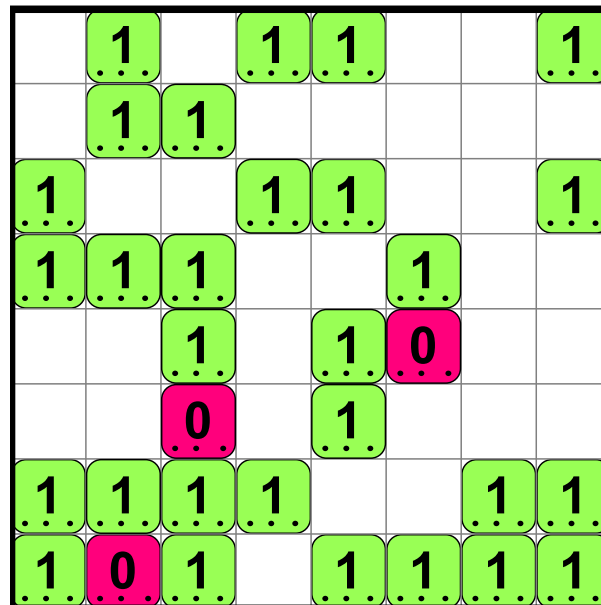
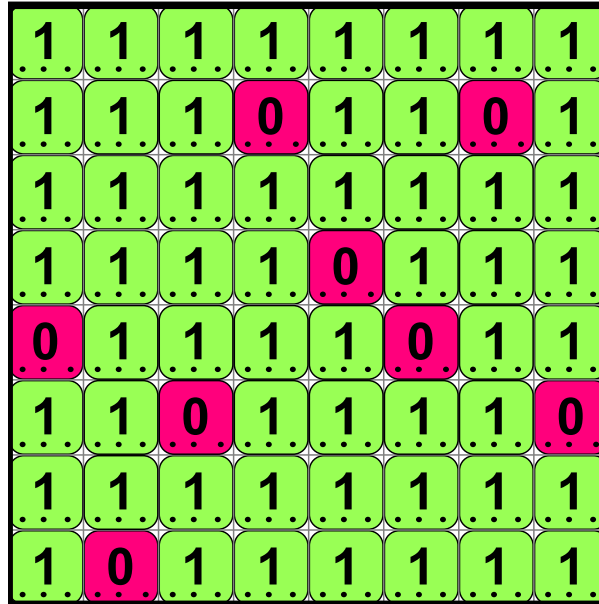
R_0 versus D

Conclusion

R_0 Lower Bound

(Negative) input with exactly one zero in each column.

- An R_0 algorithm can reject only if it has found $m/2$ zeroes.



R_0 Lower Bound

Introduction

Overview of Results

Göös-Pitassi-Watson

Our Modifications

R_1 versus R_0

State of the Art

Reminder 1

Reminder 2

Definition

Totalisation

Check Column

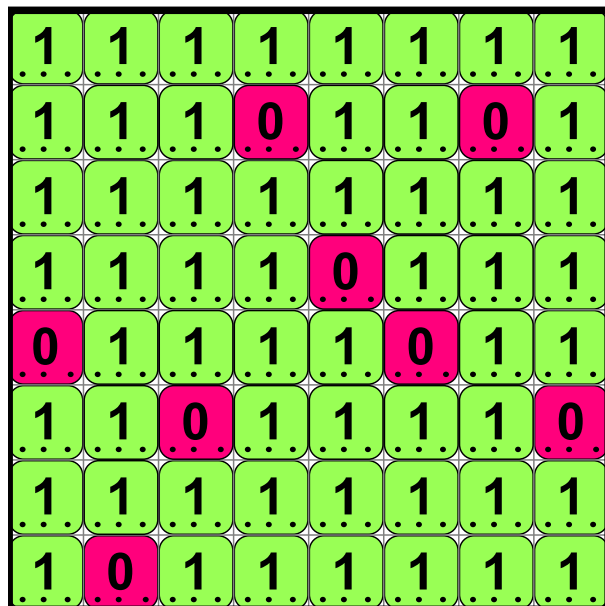
R_1 Upper Bound

R_0 Lower Bound

Summary

R_0 versus D

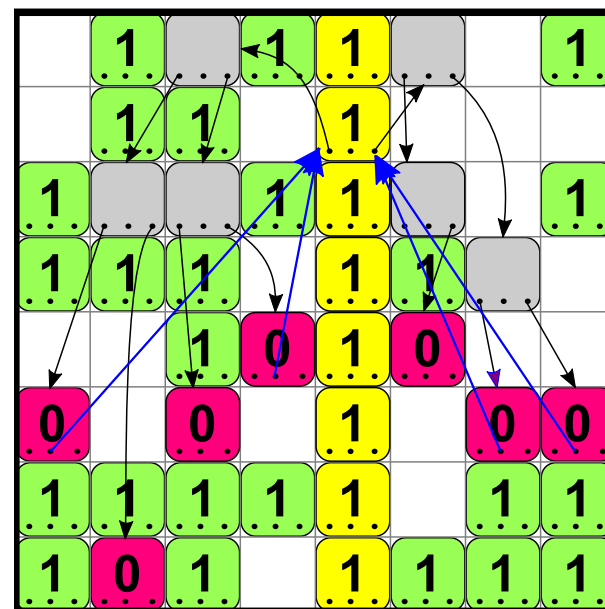
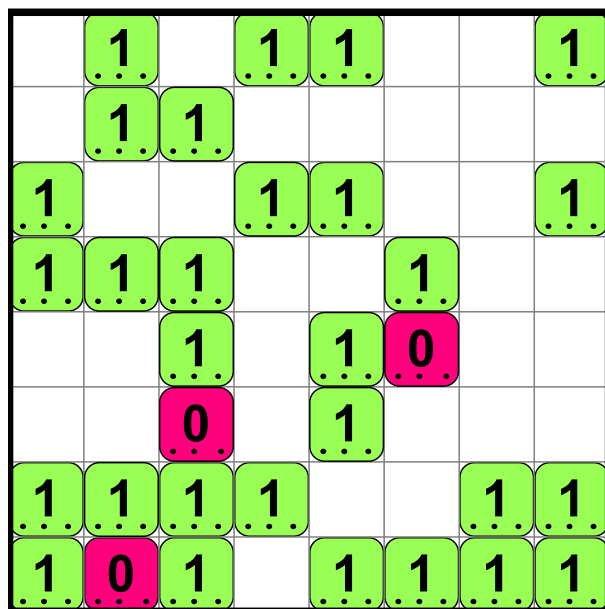
Conclusion



(Negative) input with exactly one zero in each column.

- An R_0 algorithm can reject only if it has found $m/2$ zeroes.

Requires $\Omega(nm)$ queries.



Introduction

Overview of Results

Göös-Pitassi-Watson

Our Modifications

R_1 versus R_0

State of the Art

Reminder 1

Reminder 2

Definition

Totalisation

Check Column

R_1 Upper Bound

R_0 Lower Bound

Summary

R_0 versus D

Conclusion

- Upper bound for R_1 and Q_E is $\tilde{O}(n + m)$.
- Lower bound for a R_0 algorithm is $\Omega(nm)$.

Taking $n = m$, we get a quadratic separation between R_1 and R_0 , as well as between Q_E and R_0

NB. The previous separation was [Ambainis'12]:

$$Q_E = O(R_0^{0.8675\dots})$$

- Introduction
- Overview of Results
- Göös-Pitassi-Watson
- Our Modifications
- R_1 versus R_0
- R_0 versus D
- Reminder
- Definition
- Reminder 2
- D Lower Bound
- R_0 Upper Bound
- Summary
- Conclusion



R_0 **versus** D

41 / 52

Introduction

Overview of Results

Göös-Pitassi-Watson

Our Modifications

R_1 versus R_0

R_0 versus D

Reminder

Definition

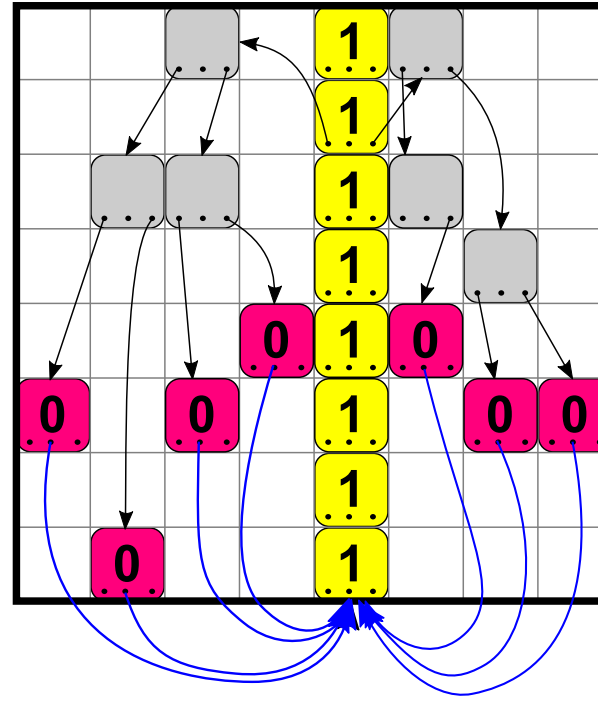
Reminder 2

D Lower Bound

R_0 Upper Bound

Summary

Conclusion



Accept iff

- There is a (unique) all-1 column b ;
- in b , there is a unique element r with non-zero pointers;
- for each $j \neq b$, following a path $T(j)$ from r gives a zero in the j th column.
- all the leaves **back point** to the all-1 column b .

Reminder 2: Adversary Argument

Introduction

Overview of Results

Göös-Pitassi-Watson

Our Modifications

R_1 versus R_0

R_0 versus D

Reminder

Definition

Reminder 2

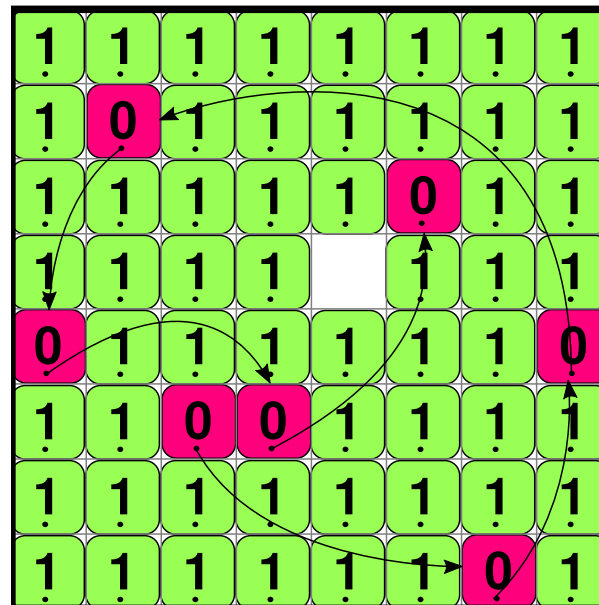
D Lower Bound

R_0 Upper Bound

Summary

Conclusion

- **While** there are non-queried elements in a column:
 - Return **1**.
- **When** the last element in a column is queried:
 - Return **0**, linking it to the last returned **0**.



Deterministic Lower Bound

Introduction

Overview of Results

Göös-Pitassi-Watson

Our Modifications

R_1 versus R_0

R_0 versus D

Reminder

Definition

Reminder 2

D Lower Bound

R_0 Upper Bound

Summary

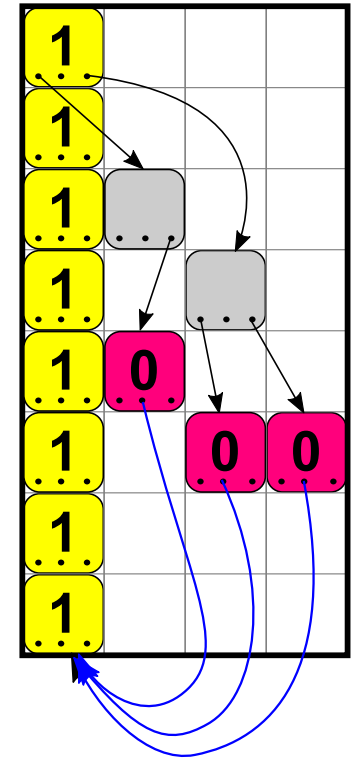
Conclusion

Adversary Method.

Let $n = 2m$.

If the k th element is queried in a column:

- If $k \leq m$, return $\boxed{1}$.
- Otherwise, return $\boxed{0}$ with back pointer to column $k - m$.



At the end, the column contains m $\boxed{1}$ and m $\boxed{0}$ with back pointers to all columns $1, 2, \dots, m$.

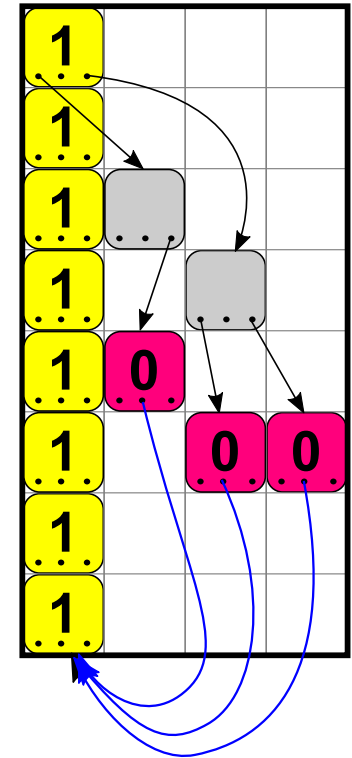
Deterministic Lower Bound

Adversary Method.

Let $n = 2m$.

If the k th element is queried in a column:

- If $k \leq m$, return $\boxed{1}$.
- Otherwise, return $\boxed{0}$ with back pointer to column $k - m$.



At the end, the column contains m $\boxed{1}$ and m $\boxed{0}$ with back pointers to all columns $1, 2, \dots, m$.

- The algorithm does not know the value of the function until it has queried $> m$ elements in each of m columns.

Introduction

Overview of Results

Göös-Pitassi-Watson

Our Modifications

R_1 versus R_0

R_0 versus D

Reminder

Definition

Reminder 2

D Lower Bound

R_0 Upper Bound

Summary

Conclusion

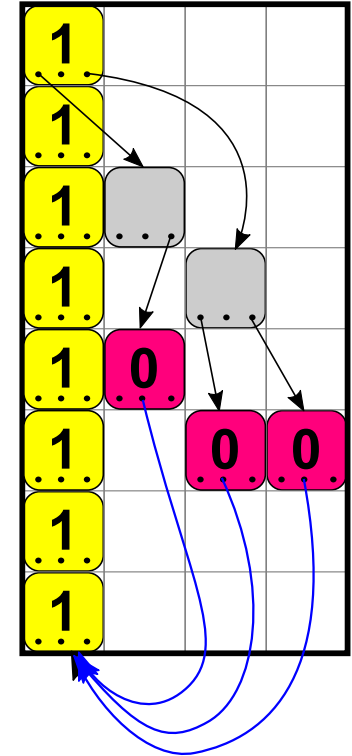
Deterministic Lower Bound

Adversary Method.

Let $n = 2m$.

If the k th element is queried in a column:

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At the end, the column contains m $\boxed{1}$ and m $\boxed{0}$ with back pointers to all columns $1, 2, \dots, m$.

- The algorithm does not know the value of the function until it has queried $> m$ elements in each of m columns.

Lower bound: $\Omega(m^2)$.

Introduction

Overview of Results

Göös-Pitassi-Watson

Our Modifications

R_1 versus R_0

R_0 versus D

Reminder

Definition

Reminder 2

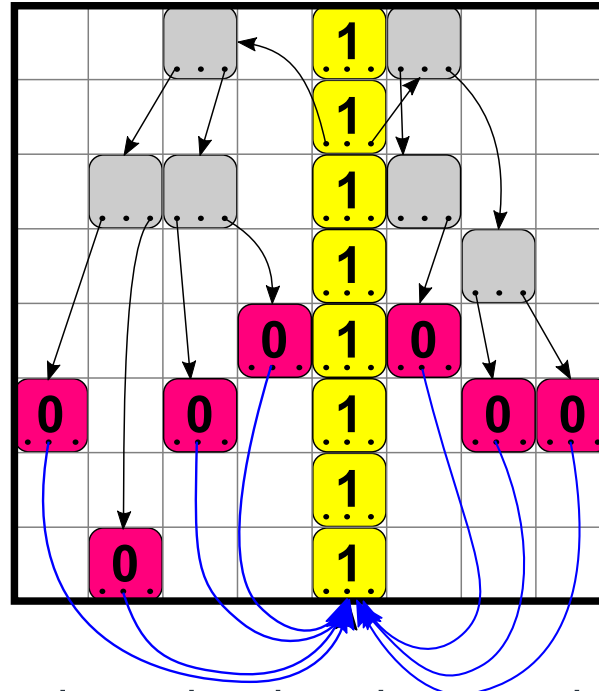
D Lower Bound

R_0 Upper Bound

Summary

Conclusion

R_0 Upper Bound: Informal



- Each column contains a back pointer to the all-1 column.
BUT which one is the right one—?

Introduction

Overview of Results

Göös-Pitassi-Watson

Our Modifications

R_1 versus R_0

R_0 versus D

Reminder

Definition

Reminder 2

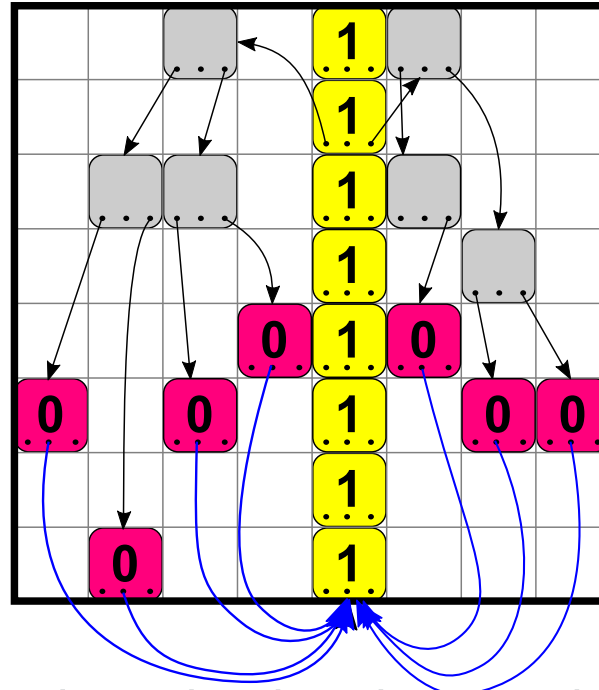
D Lower Bound

R_0 Upper Bound

Summary

Conclusion

R_0 Upper Bound: Informal



- Each column contains a back pointer to the all-1 column.
BUT which one is the right one—?

We try each back pointer by quering few elements in the column, and proceed to a one where no zeroes were found.

- Even if this is not the all-1 column, we can arrange that it contains fewer zeroes whp.

Introduction

Overview of Results

Göös-Pitassi-Watson

Our Modifications

R_1 versus R_0

R_0 versus D

Reminder

Definition

Reminder 2

D Lower Bound

R_0 Upper Bound

Summary

Conclusion

R_0 Upper Bound: Formal

Introduction

Overview of Results

Göös-Pitassi-Watson

Our Modifications

R_1 versus R_0

R_0 versus D

Reminder

Definition

Reminder 2

D Lower Bound

R_0 Upper Bound

Summary

Conclusion

Algorithm

- Let c be the first column, and $k \leftarrow n$.
- **While** $k > 1$,
 - Let $c \leftarrow \text{ProcessColumn}(c, k)$, and $k \leftarrow k/2$.

ProcessColumn(column c , integer k)

- Query all elements in column c .
- **If** there are no zeroes, **verify** column c .
- **If** there are $> k$ zeroes, query all nm variables, and output the value of the function.
- **For** each zero a :
 - Let j be the back pointer of a .
 - Query $\tilde{O}(n/k)$ elements in column j . (Probability $< \frac{1}{(nm)^2}$ that no zero found if there are $> k/2$ of them).
 - **If** no zero was found, **return** j .
- **Reject**

Introduction

Overview of Results

Göös-Pitassi-Watson

Our Modifications

R_1 versus R_0

R_0 versus D

Reminder

Definition

Reminder 2

D Lower Bound

R_0 Upper Bound

Summary

Conclusion

Take $n = 2m$.

- Lower bound for a D algorithm is $\Omega(m^2)$.
- Upper bound for a R_0 algorithm is $\tilde{O}(n + m)$.

We get a quadratic separation between R_0 and D .

Introduction

Overview of Results

Göös-Pitassi-Watson

Our Modifications

R_1 versus R_0

R_0 versus D

Reminder

Definition

Reminder 2

D Lower Bound

R_0 Upper Bound

Summary

Conclusion

Take $n = 2m$.

- Lower bound for a D algorithm is $\Omega(m^2)$.
- Upper bound for a R_0 algorithm is $\tilde{O}(n + m)$.

We get a quadratic separation between R_0 and D .

- Also, upper bound for a Q_2 algorithm is $\tilde{O}(\sqrt{n + m})$.

We get a quartic separation between Q_2 and D .

NB. Previous separation was quadratic: Grover's search.

Introduction

Overview of Results

Göös-Pitassi-Watson

Our Modifications

R_1 versus R_0

R_0 versus D

Conclusion

Results

Open Problems

Cheat Sheets

Conclusion

[Introduction](#)

[Overview of Results](#)

[Göös-Pitassi-Watson](#)

[Our Modifications](#)

[R₁ versus R₀](#)

[R₀ versus D](#)

[Conclusion](#)

Results

[Open Problems](#)

[Cheat Sheets](#)

$$R_1 = \tilde{O}(R_0^{1/2})$$

$$Q_E = \tilde{O}(R_0^{1/2})$$

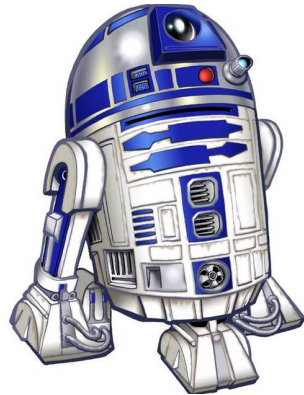
$$R_0 = \tilde{O}(D^{1/2})$$

$$Q_2 = \tilde{O}(D^{1/4})$$

$$Q_2 = \tilde{O}(R_0^{1/3})$$

$$Q_E = \tilde{O}(R_2^{2/3})$$

$$\widetilde{\deg} = \tilde{O}(R_2^{1/4})$$



We have resolved $R_2 \leftrightarrow R_0$ and $R_1 \leftrightarrow D$.

Can we resolve $R_2 \leftrightarrow D$ too?

Known: $R_2 = \Omega(D^{1/3})$ and $R_2 = \tilde{O}(D^{1/2})$.

- Can we overcome the “certificate complexity barrier”?
Obtain a function with $R_2 = o(C)$
- The same about $Q_2 \leftrightarrow D$
Known: $Q_2 = \Omega(D^{1/6})$ and $Q_2 = \tilde{O}(D^{1/4})$.
- and $Q_E \leftrightarrow D$?
Known: $Q_E = \Omega(D^{1/3})$ and $Q_E = \tilde{O}(D^{1/2})$.

Aaronson, Ben-David, and Kothari came up with the Cheat-Sheet technique.

Introduction

Overview of Results

Göös-Pitassi-Watson

Our Modifications

R_1 versus R_0

R_0 versus D

Conclusion

Results

Open Problems

Cheat Sheets

Introduction

Overview of Results

Göös-Pitassi-Watson

Our Modifications

R_1 versus R_0

R_0 versus D

Conclusion

Results

Open Problems

Cheat Sheets

Aaronson, Ben-David, and Kothari came up with the Cheat-Sheet technique.

- also uses pointers
- is incomparable to our results
- prove a number of interesting results, e.g., a total Boolean function f with

$$R_2(f) = \tilde{\Omega}(Q_2(f)^{2.5}).$$

Introduction

Overview of Results

Göös-Pitassi-Watson

Our Modifications

R_1 versus R_0

R_0 versus D

Conclusion

Results

Open Problems

Cheat Sheets

Aaronson, Ben-David, and Kothari came up with the Cheat-Sheet technique.

- also uses pointers
- is incomparable to our results
- prove a number of interesting results, e.g., a total Boolean function f with

$$R_2(f) = \tilde{\Omega}(Q_2(f)^{2.5}).$$

- Actually, $R_2(f) = \tilde{\Omega}(Q_2(f)^3)$, if there exists a partial function g on n variables with

$$Q_2(g) = O(\log n) \quad \text{and} \quad R_2(g) = \tilde{\Omega}(n).$$

- Introduction
- Overview of Results
- Göös-Pitassi-Watson
- Our Modifications
- R_1 versus R_0
- R_0 versus D
- Conclusion
- Results
- Open Problems
- Cheat Sheets

Any questions?

